

PATENT

Docket No. ATS016USQ

Box Patent Application  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): **ROBERT MARSHALL, GEORGE ROGERS and TIMOTHY HALFMAN**

**WARNING:** Patent must be applied for in the name(s) of all of the actual inventor(s). 37 CFR 1.41(a) and 1.53(b).

For (title): **WIRELESS SYSTEM FOR BROADCASTING, RECEIVING, STORING AND  
SELECTIVELY PRINTING COUPONS AND THE LIKE IN A RETAIL ENVIRONMENT**

1. Type of Application

This new application is for a(n) (check one applicable item below):

- ☒ Original  
☐ Design  
☐ Plant

**WARNING:** Do not use this transmittal for a completion in the U.S. of an International Application under 35 U.S.C. 371(c)(4) unless the International Application is being filed as a divisional, continuation or continuation-in-part application.

**NOTE:** If one of the following 3 items apply then complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED and a NOTIFICATION IN PARENT APPLICATION OF THE FILING OF THIS CONTINUATION APPLICATION.

- ☐ Divisional  
☐ Continuation  
☒ Continuation-in-part (CIP)

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date January 27, 1999 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EM394896763US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

James G. Coplit

(Type or print name of person mailing paper)

(Signature of person mailing paper)

**NOTE:** Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 CFR 1.10(b).

## 2. Benefit of Prior U.S. Application(s) (35 USC 120)

**NOTE:** If the new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is an International Application which designated the U.S., then check the following item and complete and attach **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**.

- ☒ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**.

## 3. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

- 38 Pages of specification  
6 Pages of claims (Nos. 1-25)  
1 Pages of Abstract  
19 Sheets of drawing (Figs. 1-24)

- ☐ formal  
☒ informal

**WARNING:** DO NOT submit original drawings. A high quality copy of the drawings should be supplied when filing a patent application. The drawings that are submitted to the Office must be on strong, white, smooth, and non-shiny paper and meet the standards according to § 1.84. If corrections to the drawings are necessary, they should be made to the original drawing and a high-quality copy of the corrected original drawing then submitted to the Office. **Only one copy is required or desired.** Comments on proposed new 37 CFR 1.84. Notice of March 9, 1988 (1990 O.G. 57-62).

**NOTE:** "Identifying indicia such as the serial number, group and unit; title of the invention, attorney's docket number, inventor's name, number of sheets, etc., not to exceed 2¾ inches (7.0 cm.) in width may be placed in a centered location between the side edges within three fourths inch (19.1 mm.) of the top edge. Either this marking technique on the front of the drawing or the placement, although not preferred, of this information and the title of the invention on the back of the drawings is acceptable." Proposed 37 CFR 1.84(1). Notice of March 9, 1988 (1990 O.G. 57-62).

## 4. Additional papers enclosed

- ☐ Preliminary Amendment  
☐ Information Disclosure Statement (37 CFR 1.98)  
☐ Form PTO-1449  
☐ Citations  
☐ Declaration of Biological Deposit  
☐ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.  
☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative  
☐ Special Comments  
☐ Other

5. Declaration or oath

☒ Enclosed

executed by (check all applicable boxes)

☒ inventor(s).

☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43

☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.

☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See item 13 below for fee.

☐ Not Enclosed.

**WARNING:** Where the filing is a completion in the U.S. of an International Application but where a declaration is not available or where the completion of the U.S. application contains subject matter in addition to the International Application the application may be treated as a continuation or continuation-in-part, as the case may be, utilizing ADDED PAGE FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION CLAIMED.

☐ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above named inventor(s). (The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently).

**NOTE:** It is important that all the correct inventor(s) are named for filing under 37 CFR 1.41(c) and 1.53(b).

☐ Showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d).

6. Inventorship Statement

**WARNING:** If the named inventors are each not the inventors of all the claims an explanation, including the ownership of the various claims at the time the last claimed invention was made, should be submitted.

The inventorship for all the claims in this application are:

☒ The same

or

☐ Are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,

☐ is submitted.

☐ will be submitted.

7. Language

**NOTE:** An application including a signed oath or declaration may be filed in a language other than English. A verified English translation of the non-English language application and the processing fee of \$130.00 required by 37 CFR 1.17(k) is required to be filed with the application or within such time as may be set by the Office. 37 CFR 1.52(d).

**NOTE:** A non-English oath or declaration in the form provided or approved by the PTO need not be translated. 37 CFR 1.69(b).

☒ English

☐ non-English

☐ the attached translation is a verified translation. 37 CFR 1.52(d).

(Application Transmittal [4-1]—page 3 of 7)

8. Assignment

☒ An assignment of the invention to NUWORLD MARKETING LTD.

☒ is attached. A separate ☒ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or ☐ FORM PTO 1906 is also attached.

☐ will follow.

NOTE: "If an assignment is submitted with a new application, send two separate letters-one for the application and one for the assignment." Notice of May 4, 1990 (1114 O.G. 77-78).

9. Certified Copy

Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)

from which priority is claimed

☐ is(are) attached.

☐ will follow.

NOTE: The foreign application forming the basis for the claim for priority must be referred to in the oath or declaration. 37 CFR 1.55(a) and 1.63.

NOTE: This item is for any foreign priority for which the application being filed directly relates. If any parent U.S. application or International Application from which this application claims benefit under 35 U.S.C. 120 is itself entitled to priority from a prior foreign application then complete item 18 on the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

10. Fee Calculation (37 CFR 1.16)

A. ☒ Regular application

CLAIMS AS FILED					
Number filed		Number Extra		Rate	Basic Fee 37 CFR 1.16(a) <del>\$740.00</del> \$760.00
Total				18.00	
Claims (37 CFR 1.16(c))	25 -20=	5	X	\$ 22.00	\$90.00
Independent				78.00	
Claims (37 CFR 1.16(b))	5 -3=	2	X	\$ 74.00	\$156.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))				\$230.00	

☐ Amendment cancelling extra claims enclosed.

☐ Amendment deleting multiple-dependencies enclosed.

☐ Fee for extra claims is not being paid at this time.

NOTE: If the fees for extra claims are not paid on filing they must be paid or the claims cancelled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 CFR 1.16(d).

Filing Fee Calculation

\$ 1006.00

- B. ☐ **Design application**  
(\$280.00—37 CFR 1.16(f))

Filing Fee Calculation \$ \_\_\_\_\_

- C. ☐ **Plant application**  
(\$460.00—37 CFR 1.16(g))

Filing fee calculation \$ \_\_\_\_\_

**11. Small Entity Statement(s)**

- ☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) attached.

Filing Fee Calculation (50% of A, B or C above) \$ \_\_\_\_\_

*NOTE: Any excess of the full fee paid will be refunded if a verified statement and a refund request are filed within 2 months of the date of timely payment of a full fee. 37 CFR 1.28(a).*

**12. Request for International-Type Search (37 CFR 1.104(d)) (complete, if applicable)**

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

**13. Fee Payment Being Made At This Time**

- ☐ Not Enclosed

- ☐ No filing fee is to be paid at this time. (*This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.*)

- ☒ Enclosed

☒ basic filing fee \$ 1006.00

☒ recording assignment  
(\$40.00; 37 CFR 1.21(h)) \$ 40.00

☐ petition fee for filing by other  
than all the inventors or person  
on behalf of the inventor where  
inventor refused to sign or cannot  
be reached. (\$130.00; 37 CFR  
1.47 and 1.17(h)) \$ \_\_\_\_\_

☐ for processing an application with  
a specification in a non-English  
language. (\$130.00; 37 CFR 1.52(d) and  
1.17(k)) \$ \_\_\_\_\_

☐ processing and retention fee  
(\$130.00; 37 CFR 1.53(d) and 1.21(l)) \$ \_\_\_\_\_

☐ fee for international-type search report (\$35.00;  
37 CFR 1.21(e)). \$ \_\_\_\_\_

*NOTE: 37 CFR 1.21(l) establishes a fee for processing and retaining any application which is abandoned for failing to complete the application pursuant to 37 CFR 1.53(d) and this, as well as the changes to 37 CFR 1.53 and 1.78, indicate that in order to obtain the benefit of a prior U.S. application, either the basic filing fee must be paid or the processing and retention fee of § 1.21(l) must be paid within 1 year from notification under § 53(d).*

**Total fees enclosed** \$ 1046.00

**14. Method of Payment of Fees**

☒ 2 Checks in the amount of \$ 1,046

☐ Charge Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. A duplicate of this transmittal is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

**15. Authorization to Charge Additional Fees**

**WARNING:** If no fees are to be paid on filing the following items should **not** be completed.

**WARNING:** Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges, if extra claim charges are authorized.

☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 07-2162:

☒ 37 CFR 1.16(a), (f) or (g) (filing fees)

☐ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)

NOTE: Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency (37 CFR 1.16(d)), it might be best not to authorize the PTO to charge additional claim fees, except possibly when dealing with amendments after final action.

☒ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

☒ 37 CFR 1.17 (application processing fees)

**WARNING:** While 37 CFR 1.17(a), (b), (c) and (d) deal with extensions of time under § 1.136(a) this authorization should be made only with the knowledge that: "Submission of the appropriate extension fee under 37 C.F.R. 1.136(a) is to no avail unless a request or petition for extension is filed." (Emphasis added). Notice of November 5, 1985 (1060 O.G. 27).

☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time of mailing the notice of allowance. 37 CFR 1.311(b).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application . . . prior to paying, or at the time of paying, . . . issue fee". From the wording of 37 CFR 1.28(b): (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity.


**16. Instructions As To Overpayment**

☐ credit Account No. \_\_\_\_\_

☒ refund

Reg. No. **40,571**

Tel. No. **(203) 324-2828**



SIGNATURE OF ATTORNEY

James G. Coplit

Type or print name of attorney

P.O. Box 1311

P.O. Address

Stamford, CT 06904-1311

☒ **Incorporation by reference of added pages**

*Check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED*

- ☒ Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s) Claimed

Number of pages added 4

- ☐ Plus Added Pages For Papers Referred To In Item 4 Above

Number of pages added \_\_\_\_\_

- ☒ Plus "Assignment Cover Letter Accompanying New Application"

Number of pages added 2

☐ **Statement Where No Further Pages Added**

*(If no further pages form a part of this Transmittal then end this Transmittal with this page and check the following item)*

- ☐ This transmittal ends with this page.

**ADDED PAGES FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATION(S) CLAIMED**

NOTE: "In order for an application to claim the benefit of a prior filed copending national application, the prior application must name as an inventor at least one inventor named in the later filed application and disclose the named inventor's invention claimed in at least one claim of the later filed application in the manner provided by the first paragraph of 35 U.S.C. 112." 37 CFR 1.78(a).

NOTE: "In addition the prior application must be (1) complete as set forth in § 1.51, or (2) entitled to a filing date as set forth in § 1.53(b) and include the basic filing fee set forth in § 1.16; or (3) entitled to a filing date as set forth in § 1.53(b) and have paid therein the processing and retention fee set forth in § 1.21(f) within the time period set forth in § 1.53(d)." 37 CFR 1.78(a).

**17. Relate Back—35 U.S.C. 120**

NOTE: "Any application claiming the benefit of a prior filed copending national or international application must contain or be amended to contain in the first sentence of the specification following the title a reference to such prior application identifying it by serial number and filing date or international application number and international filing date and indicating the relationship of the applications." 37 CFR 1.78(a). See also the Notice of April 28, 1987 (1079 O.G. 32 to 46).

☒ Amend the Specification by inserting before the first line the sentence:

"This is a

☐ continuation

☒ continuation-in-part

☐ divisional

of copending application(s)

☒ serial number 0 9/096,444 filed on June 11, 1998

☐ International Application \_\_\_\_\_ filed on \_\_\_\_\_  
and which designated the U.S."

NOTE: The proper reference to a prior filed PCT application which entered the U.S. national phase is the U.S. serial number and the filing date of the PCT application which designated the U.S.

NOTE: (1) Where the application being transmitted adds subject matter to the International Application then the filing can be as a continuation-in-part or (2) it is desired to do so for other reasons, e.g. where no declaration is available, no English translation is available or no fee is to be paid on filing then the filing can be as a continuation. In these cases the International Application designating the U.S. is treated as the parent case in the U.S. and is an alternative to the completion of the International Application under 35 U.S.C. 371(c)(4) which must meet the requirements of 37 CFR 1.61(a). This alternative permits the completion of the filing requirements within any term set by the PTO under 37 CFR 1.53(d) to which the extension provisions of 37 CFR 1.136(a) apply. (Whereas, if the filing is as an international application entering the U.S. stage then the fee, declaration and/or English translation (where necessary) is due within 20 months of the priority date but can be paid within 22 months of the priority date (or is due within 30 months of the priority date but can be submitted within 32 months of the priority date) with the surcharges set forth in 37 CFR 1.492(e), (f) and 37 CFR 1.495(c); however, the provisions of 37 CFR 1.136 do not apply to this 22 or (32 month) period. 37 CFR 1.61(b).)

NOTE: The deadline for entering the national phase in the U.S. for an international application was clarified in the Notice of April 28, 1987 (1079 O.G. 32 to 46) as follows:

"The Patent and Trademark Office considers the International application to be pending until the 22nd month from the priority date if the United States has been designated and no Demand for International Preliminary Examination has been filed prior to the expiration of the 19th month from the priority date and until the 32nd month from the priority date if a Demand for International Preliminary Examination which elected the United States of America has been filed prior to the expiration of the 19th month from the priority date, provided that a copy of the international application has been communicated to the Patent and Trademark Office within the 20 or 30 month period respectively. If a copy of the international application has not been communicated to the Patent and Trademark Office within the 20 or 30 month period respectively, the international application becomes abandoned as to the United States 20



or 30 months from the priority date respectively. These periods have been placed in the rules as paragraph (h) of § 1.494 and paragraph (i) of § 1.495. A continuing application under 35 U.S.C. 365(c) and 120 may be filed anytime during the pendency of the international application."

#### 18. Relate Back—35 U.S.C. 119 Priority Claim for Prior Application

The prior U.S. application(s), including any prior International Application designating the U.S., identified above in item 17, in turn itself claim(s) foreign priority (ies) as follows:

country	appl. no.	filed on
The certified copy (ies) has (have)		
<input type="checkbox"/>	been filed on _____ in prior application 0 / _____	which was filed on _____
<input type="checkbox"/>	is (are) attached	

**WARNING:** The certified copy of the priority application which may have been communicated to the PTO by the International Bureau may **not** be relied on without any need to file a certified copy of the priority application **in the continuing application**. This is so because the certified copy of the priority application communicated by the International Bureau is placed in a folder and is not assigned a U.S. serial number unless the national stage is entered. Such folders are disposed of if the national stage is not entered. Therefore such certified copies may not be available if needed later in the prosecution of a continuing application. An alternative would be to physically remove the priority documents from the folders and transfer them to the continuing application. The resources required to request transfer, retrieve the folders, make suitable record notations, transfer the certified copies, enter and make a record of such copies in the Continuing Application are substantial. Accordingly, the priority documents in folders of international applications which have not entered the national stage may not be relied on. Notice of April 28, 1987 (1079 O.G. 32 to 46).

#### 19. Maintenance of Copendency of Prior Application

**NOTE:** The PTO finds it useful if a copy of the petition filed in the prior application extending the term for response is filed with the papers constituting the filing of the continuation application. Notice of November 5, 1985 (1060 O.G. 27).

A. ☐ Extension of time in prior application

(This item **must** be completed and the papers filed in the **prior application** if the period set in the prior application has run)

☐ A petition, fee and response extends the term in the pending **prior** application until \_\_\_\_\_

☐ A **copy** of the petition filed in prior application is attached

B. ☐ Conditional Petition for Extension of Time in Prior Application

(complete this item if previous item not applicable)

☐ A conditional petition for extension of time is being filed in the pending **prior** application.

☐ A **copy** of the conditional petition filed in the prior application is attached

#### 20. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

**NOTE:** "If the continuation, continuation-in-part, or divisional application is filed by less than all the inventors named in the prior application a statement **must** accompany the application when filed requesting deletion of the names of the person or persons who are not inventors of the invention being claimed in the continuation, continuation-in-part, or divisional application." 37 CFR 1.62(a) [emphasis added]. (dealing with the file wrapper continuation situation).

**NOTE:** "In the case of a continuation-in-part application which adds and claims additional disclosure by amendment, an oath or declaration as required by § 1.63 must be filed. In those situations where a new oath or declaration is required due to additional subject matter being claimed, additional inventors may be named in the continuing application. In a continuation or divisional application which discloses

and claims only subject matter disclosed in a prior application, no additional oath or declaration is required and the application must name as inventors the same or less than all the inventors in the prior application." 37 CFR 1.60(c). (dealing with the continuation situation).

(complete applicable item (a), (b) and/or (c) below)

- (a) ☐ This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are
- ☐ the same
  - ☐ less than those named in the prior application and it is requested that the following inventor(s) identified for the prior application be deleted:

---

(Type name(s) of inventor(s) to be deleted)

- (b) ☐ This application discloses and claims additional disclosure by amendment and a new declaration or oath is being filed. With respect to the prior application the inventor(s) in this application are
- ☐ the same
  - ☐ the following additional inventor(s) have been added

---

(Type name(s) of inventor(s) to be added)

- (c) The inventorship for all the claims in this application are
- ☒ the same
  - ☐ not the same, and an explanation, including the ownership of the various claims at the time the last claimed invention was made
    - ☐ is submitted
    - ☐ will be submitted

## 21. Abandonment of Prior Application (if applicable)

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application.

**NOTE:** According to the Notice of May 13, 1983 (103, TMOG 6-7) the filing of a continuation or continuation-in-part application is a proper response with respect to a petition for extension of time or a petition to revive and should include the express abandonment of the prior application conditioned upon the granting of the petition and the granting of a filing date to the continuing application.

## 22. Petition for Suspension of Prosecution for the Time Necessary to File an Amendment

**WARNING:** "The claims of a new application may be finally rejected in the first Office action in those situations where (1) the new application is a continuing application of, or a substitute for, an earlier application, and (2) all the claims of the new application (a) are drawn to the same invention claimed in the earlier application, and (b) would have been properly finally rejected on the grounds of art of record in the next Office action if they had been entered in the earlier application." MPEP, § 706.07(b).

**NOTE:** Where it is possible that the claims on file will give rise to a first action final for this continuation application and for some reason an amendment cannot be filed promptly (e.g., experimental data is being gathered) it may be desirable to file a petition for suspension of prosecution for the time necessary.

(Added Pages for Application Transmittal Where Benefit of Prior U.S. Application(s)  
Claimed [4-1.1]—page 3 of 4)

*(check the next item, if applicable)*

- ☐ There is provided herewith a Petition To Suspend Prosecution for the Time Necessary to File An Amendment (New Application Filed Concurrently)

**23. NOTIFICATION IN PARENT APPLICATION OF THIS FILING**

- ☒ A notification of the filing of this  
*(check one of the following )*

- ☐ continuation  
☒ continuation-in-part  
☐ divisional

is being filed in the parent application from which this application claims priority under 35 USC § 120.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL FORM

01/27/99  
JCS  
S. PTO

Applicant: Robert Marshall, George Rogers and Timothy Halfman

Docket No.: ATS016USQ

Inventor No.: TBA

Filed: TBA

WIRELESS SYSTEM FOR BROADCASTING, RECEIVING, STORING AND SELECTIVELY  
PRINTING COUPONS AND THE LIKE IN A RETAIL ENVIRONMENT

BOX PATENT APPLICATION  
THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

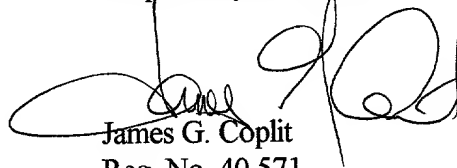
Sir:

Transmitted herewith is:

1. Utility Patent Application including specification (38 pages), claims (6 pages, Nos. 1-25) & abstract
2. Informal Drawings (19 pages, FIGS. 1-24)
3. Declaration and Power of Attorney
4. Assignment with Cover Sheet
5. Our firm's check nos. 13824 and 13825 in the amount of \$ 1046.00
6. Postcard
7. Duplicate copy of this letter
8. New Application Transmittal

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 07-2162 in the name of Grimes & Battersby. A duplicate copy of this sheet is attached.

Respectfully submitted,



James G. Coplit  
Reg. No. 40,571  
Grimes & Battersby  
P.O. Box 1311  
Three Landmark Square, Suite 405  
Stamford, Connecticut 06904-1311  
(203) 324-2828

Date: January 27, 1999

**CERTIFICATE OF EXPRESS MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL, POST OFFICE TO ADDRESSEE, CERTIFICATE NO. EM394896763US IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231, ON January 27, 1999.

JAMES G. COPLIT  
NAME OF REGISTERED  
REPRESENTATIVE

SIGNATURE

1/27/99  
DATE

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

**PATENT APPLICATION**

**OF**

**ROBERT MARSHALL**

**and**

**GEORGE ROGERS**

**and**

**TIMOTHY HALFMAN**

**FOR**

**WIRELESS SYSTEM FOR BROADCASTING, RECEIVING, STORING AND  
SELECTIVELY PRINTING COUPONS AND THE LIKE IN A RETAIL ENVIRONMENT**

Attorneys:  
Grimes & Battersby  
P.O. Box 1311  
3 Landmark Square  
Stamford, CT 06904-1311  
(203) 324-2828

Our File: ATS020USU

**TITLE: WIRELESS SYSTEM FOR BROADCASTING, RECEIVING, STORING & SELECTIVELY PRINTING COUPONS AND THE LIKE IN A RETAIL ENVIRONMENT**

5 **RELATED APPLICATIONS**

This is a continuation in part of co-pending United States Patent Application Serial No. 09/096,443 filed on June 11, 1998 in the name of Robert T. Kulakowski, Robert Marshall and George J. Rogers for Wireless System for Broadcasting, Receiving and Printing Packets of Information, United States Patent Application Serial No. 09/096,444 filed on June 11, 1998 in the name of Robert T. Kulakowski, Robert Marshall and George J. Rogers for Wireless System for Broadcasting, Receiving and Selectively Printing Packets of Information Using Bit-String Selection Means, and United States Patent Application Serial No. 09/095,820 filed on June 11, 1998 in the name of Robert T. Kulakowski, Robert Marshall and George J. Rogers for Printer Appliance for Use in a Wireless System for Broadcasting Packets of Information.

**BACKGROUND OF THE INVENTION**

**1. Field of the Invention**

The present invention relates generally to a wireless system for broadcasting, receiving and selectively printing packets of information such as redeemable coupons, forms, messages or any other packet of information consisting of text or graphics, or a combination thereof, and, more particularly, to such a system which comprises a method and apparatus for selectively broadcasting such messages through conventional pager network to a network of printer

appliances that are adapted to convert such messages to a readable permanent format such as, for example, a printed copy. In its broadest form the subject system in essence creates a universal delivery system for virtually any type of information packet that is currently delivered using conventional means such as the United States Postal Service or overnight delivery service such as Federal Express, etc. One particularly unique application for such system is the broadcasting and generation of redeemable consumer coupons in printed format that can be redeemed by consumers at retail outlets, although it should be appreciated that the system of the present invention has applicability in generating virtually any type of printed message.

## **2. Description of the Prior Art**

The dispatch of packets of printed information, such as consumer coupons, to individual households is well known. Individuals are accustomed to receiving such printed messages from a variety of different sources including the mail, overnight delivery services, house to house door-hanger crews, private runner services, the print media and the like. Conventional methods for dispatching and delivering such printed messages to a large number of individual households are limited severely by the need for manually handling and delivering the printed copy. While these vehicles are capable of handling large volumes of messages on a daily basis, the need to manually deliver such messages imposes certain constraints on the system in terms of both cost and delivery time.

Telecommunications systems for conveying and delivering messages have improved rapidly over the past decade. For example, facsimile systems have become a common method for

the distribution of printed messages and other communications. The speed and cost of such facsimile systems are quite favorable when compared with the conventional methods described above. Facsimile transmissions are, however, severely limited in terms of wide distribution and, generally speaking, are inappropriate for mass, broadcast messaging. The appeal and utility of such transmissions is also somewhat limited by the requirement and expense of telephone line connections.

More recently, the Internet has become a very potent force in delivering printed messages. As with all other forms of delivery systems, however, there are constraints in terms of reach capability, line connection, and the need for a computer and operating software and auxiliary and ancillary systems. Moreover message recipients must be Internet accessible and have a certain degree of computer literacy. Even more importantly, for such a system, the user must assume an active role if the printed messages are to be received.

With respect to redeemable coupons, the most common form of distribution of such products is through the print media, i.e., either as a freestanding insert or as part of a print advertisement in a newspaper or magazine. In addition, many coupons are distributed by direct mail. Although this distribution method is slow and very costly, it can be targeted at specific recipient groups through the use of selected mailing lists. This contact approach is used extensively by mail-order marketers, telephone companies, magazine publishers, and insurance or financial service providers.

While the bulk of manufacturer discount coupons were printed and distributed in conventional methods of home delivery, some are now being presented automatically in-store,



using relatively advanced communications and computer technology. For example, in one such application, a coupon is dispensed at checkout to an exiting customer for use on the next shopping trip. The particular coupon is targeted to the specific product preferences of the customer through analysis and interpretation of her current purchases. This system is supported  
5 by a vast communications network, an extensive computerized database and dedicated in-store computers. The basic purpose of this type of promotion is to encourage a return trip and influence new purchase decisions.

In another, semi-automated in-store coupon dispensing system application, discrete, electro-mechanical dispensers are attached directly to shelves adjacent to the product. The  
10 consumer can then withdraw a coupon and obtain an instant discount on the purchase at checkout. Such a system is directed more to the "impulse" purchase as the consumer moves through an aisle in the store.

A third class of automated, in-store coupon distributing systems are the kiosk or booth dispensers. These booths are generally installed in store entryways to increase exposure and take  
15 advantage of concentrated traffic. A consumer activates the system through a keyboard, touch screen or by swiping a magnetic strip card. The system then presents images of the currently available promotions and the shopper can choose coupons of interest. Problems with such a system include high initial capitalization costs, complex and high-maintenance technology, difficulty of use, and extended selection time on the part of users.

20 For the aforementioned reasons, none of these forms of message delivery offer particularly fast and inexpensive distribution of such messages.

## SUMMARY OF THE INVENTION

Against the foregoing background, it is a primary object of the present invention to provide a system for delivering printed packets of information directly to high visibility and high traffic areas within a retail environment, including but not limited to, on the shelf, on an endcap (end of aisle) at the checkout, on a freestanding kiosk or display etc. within a designated store. The printer(s) could be located virtually anywhere in a store.

It is another object of the present invention to provide such a system that is capable of delivering such packets at a low cost when compared to manual delivery systems.

It is yet another object of the present invention to provide such a system wherein the delivery time of such packets can be controlled and, further, where the packet sequencing and dispatching capability is flexible.

It is still another object of the present invention to provide such a system which has a broad and rapid audience reach and which is able to deliver packets of information virtually simultaneously to pre-determined but widely diverse recipient groups, including those within the retail environment.

It is still yet another object of the present invention to provide such a system where the recipient is able to automatically receive a printed copy of the packet of information using a printer appliance.

It is but another object of the present invention to provide such a system that includes means to store the packet of information being delivered for future printing.

It is another object of the present invention to provide such a system that includes means of detecting whether the printed packet of information has been removed and printing a new copy of the packet in the event the printed packet has been removed.

It is but still another object of the present invention to provide such a system in which the  
5 packet can be traced to a particular printer appliance, store or store chain/company.

It is yet another object of the present invention to provide such a system that includes a series of printer appliances that require minimal maintenance and attention.

It is still another object of the present invention to provide such a system that influence  
10 purchase decisions at the time of entry into a store, and/or during the entire shopping trip throughout the store.

It is but another object of the present invention to provide such a system that reinforces the coupon offer at the shelf or any linked coupon distribution at checkout.

It is but another object of the present system to provide a system whereby supplemental  
15 visual messages draw attraction to the printed information.

It is yet another object of the present invention to provide a system for supplying audio  
supplementary audio messages to draw attention to the printed information.

It is yet another object of the present invention to provide a motion sensing system such that activation of the supplementary systems are triggered or initiated by human presence near the present system (printer).

20 It is another object of the present invention to provide method and apparatus to effect the objects and advantages of such system.

To the accomplishments of the foregoing objects and advantages, the present invention, in brief summary, comprises a new system for originating, transmitting, receiving, storing and printing packets of information directly to retail stores and the like through a network of unique printer appliances. Such information may constitute redeemable coupons, forms, rebate instruments, helpful product information, messages, advertisements, warnings, tickets and the like. Since the techniques are essentially electronic in nature, the system eliminates the need to physically handle or deliver such packets that vastly improves its efficiency in terms of delivery cost and time. The severe reach limitations of the facsimile and Internet systems are eliminated since the system of the present invention can operate in a broadcast mode which is optimal for mass message delivery. With the inclusion of innovative communication filters, the system can limit delivery to a single printer appliance, all printer appliances or a select group of printer appliances.

The system of the present invention does not require the installation of new telephone line connections, requires virtually no technology literacy or any active participation on the part of the recipient in actually receiving and obtaining printed copies of the information packets being transmitted. Using a novel printer appliance, packets can be delivered to the home, office, store, or automobile or virtually anywhere where electrical power is accessible.

The present system is characterized by an ability to inexpensively reach a precise target in the marketplace with minimal recipient involvement. The essential transmission methodology is through established national pager networks such as, for example, those operated by PageNet or SkyTel, which are capable of reaching more than 90% of U.S. households. The majority of the

remaining audience can be reached by building relays to operators of local pager networks. In addition, the system may work in conjunction with conventional cellular telephone technology such as, for example, Sprint, MCI, etc. as well as with dedicated satellite transmission systems, or from an RF transmitter from within the store, satellite dish tap, remote control or other source.

5 Future versions may employ any current or future wireless broadcast, multicast, or narrow-cast technology for transmission.

Since the audience is accessed in a virtual parallel mode by a broadcasting system, the number of required transmission channels is minimal. In addition, access/delivery costs are very low, i.e., in the hundredths of a cent for a typical packet, depending upon the size of the audience.

10 Although the system employs a broadcast transmission method, the incorporation of blocking filters enables the system to deliver either a specific packet to an individual appliance or to all or substantially all of the printer appliances as well as virtually everything in between.

15 One component of the system is a high-performance, upgradable subscriber database such as, for example, those relational type databases provided by Oracle or Informix, containing detailed bibliographic, demographic and other unique subscriber information. Such information could contain specific store and chain/company information as well as information from the customers within each store and/or chain/company. Such information can be obtained from all sources via survey and response to questionnaires. The versatility of this database combined with the selectivity of the blocking filters permits extremely precise message targeting based on  
20 definable recipient profiles.

A strong advantage of the proposed system is the incorporation of the maintenance-free printer appliances used by proposed recipients of such messages. The basic printer device/appliance is a "printed message appliance" (PMA). The enhanced printed appliance, intended for utilization in a retail environment is a "receiver-printer-dispenser" (RPD). With such  
5 devices, the recipient does not have to assume an active role in the messaging process. There is no need for even minimal technology or computer literacy since the initial setup and subsequent operation are simple and straightforward. So long as the appliance has power and paper, print messages will be automatically received. It is envisioned that most of the messages will be delivered during the night to take advantage of very low traffic on the pager network at such  
10 times. Thus, the incorporation of a printer appliance requiring minimal maintenance and attention is extremely important.

It is contemplated that the system of the present invention will have particular applicability in the promotion and advertising fields, particularly in the distribution and delivery by packaged-goods manufacturers of redeemable coupons and rebates. The majority of coupons are presently  
15 distributed as newspaper inserts or as part of a print advertisement in a newspaper or magazine. However, the device is capable of transmitting, receiving and printing almost any packet of information or graphics.

Other messages that can be distributed include, for example, forms, for almost any promotional or information gathering purpose, including rebates, surveys, contest announcements  
20 and entries, sweepstakes entries etc.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

The foregoing and still other objects and advantages of the present invention will be more apparent from the detailed explanation of the preferred embodiments of the invention in connection with the accompanying drawings, wherein:

5        FIG. 1 is a flow diagram illustrating the information delivery system of the present invention.

FIG. 2 is a sample table for the subscriber directory used in conjunction with the information delivery system of the present invention.

FIG. 3 illustrates the possible profile bit-string groupings that may be used in conjunction  
10 with the information delivery system of the present invention.

FIG. 4 is a sample table in which the messages that are stored in the message bank of the present invention.

FIG. 5 is an example of a daily message batch created using the message bank of the information delivery system of the present invention.

15        FIG. 6 is a sample of typical message bit-string destination codes that may be used in conjunction with the information delivery system of the present invention.

FIG. 7 is a sample table of the message code string used in conjunction with the information delivery system of the present invention.

20        FIG. 8 illustrates the type of data that may be contained in a sample coupon message used in conjunction with the information delivery system of the present invention.

FIG. 9 illustrates the manner in which the message identification numbers of the information delivery system of the present invention are created.

FIG 10 is a perspective view of the printer appliance used in the information delivery system of the present invention.

5 FIG 11 is a flow diagram illustrating the manner in which the printer appliance of the information delivery system of the present invention operates.

FIG. 12 is a flow diagram illustrating the method for message content processing in the printer appliance of the present invention.

FIG. 13 illustrates the typical eligibility bit-string filter that may be created from the data in the subscriber directory table of FIG. 4.

FIG. 14 is a table illustrating the reception eligibility matching of the printer appliance of the present invention.

FIG. 15 is a flow diagram illustrating the print processing in the printer appliance of the present invention.

15 FIG. 16 is a flow diagram illustrating another embodiment of the information delivery system of the present invention.

FIG 17 is a flow diagram illustrating the manner in which the receiver-printer-dispenser appliance of the information delivery system of the present invention operates.

FIG 18 is a perspective view of the receiver-printer-dispenser appliance used in the information delivery system of the present invention.



FIGS. 19-22 illustrate the various types of dispenser configurations for the receiver-printer-dispenser appliances.

FIG. 23 is a sample floor plan illustrating the placement of the various types of dispenser configurations for the receiver-printer-dispenser appliances.

## **DESCRIPTION OF THE PREFERRED EMBODIMENTS**

Referring to the drawings, FIG. 1 is a flow diagram describing the information delivery system of the present invention which includes eight major components: (1) a subscriber directory 10; (2) a system control center 20; (3) a message bank 30; (4) a database manager 40; (5) a transmission sequence compiler 50; (6) a bank of modems 60; (7) a transmitter network 70; (8) and a network of printer appliances or receiver-printer-dispensers 80. It should be appreciated that the subscriber directory 10, the system control center 20, the message bank 30, the database manager 40 and the transmission sequence 50 compiler are software modules that may be maintained on one or a plurality of separate but interconnected or networked computer systems. Such computer systems can be microcomputers to mainframes. Certain software functions of certain modules may be incorporated into any of the appliances.

The subscriber directory 10 is a database and maintains records of all system subscribers, i.e., those individuals, entities, stores or retail establishments who will receive the messages through the printer appliances or receiver-printer-dispensers 80. The subscriber directory 10 is preferably maintained in a conventional database program such as, for example, Oracle, dBase, Paradox. While flat file database programs may be used, relational databases such as the ones described above are preferred.

The subscriber directory 10 maintains the serial numbers of all the printer appliances or receiver-printer-dispensers 80 that have been released to the market place. The appliance serial number for such appliances is very significant because it serves as a message destination code or address for directing messages using the system. Through the database manager 40, the serial

number can be linked to detailed household or retail location information including geographical address and subscriber profile data. The appliance serial number is always printed on all delivered messages. If a message also serves as a discount coupon or other voucher, the identification of the issuing source can be identified upon redemption. This would, for example, enable a promotion sponsor to track the event and obtain information on the respondents for inclusion in his customer database. A printer appliance owner, including a store, would have the option to deny use of any personal or confidential information for any use for privacy reasons.

Other data that can be maintained by the subscriber directory 10 includes bibliographic, geographic, and specific store location information.

A sample table illustrating the subscriber directory is shown in FIG. 2 and, includes, for example, information relating to the subscriber's name, address, and geographical region. It should, of course, be appreciated that virtually any type of information concerning the subscriber may be maintained depending upon the particular application.

The information contained in the subscriber directory 10 can be outputted in print form similar to a regular telephone directory and used by senders in compiling their message lists. A hard-copy directory might be used, for example, by the chain headquarters, the promoter/advertiser, or others to follow-up on activity or performance with personnel in individual stores. Large-scale users such as major grocery chains, national retail chains or mass merchants, may be allowed secured direct access to the directory or provided with magnetic tape, diskette or ROM forms for assigning serial number usage within their in-house loyalty/frequency programs.

The subscriber directory 10, when affiliated with an in-store loyalty program, potentially has a high commercial value because of the consumer information content and, if desired, may be rented to or shared with manufacturers, direct mail or telephone marketers, per published privacy policies and in accordance with governing laws and proper notification to users.

5        The data contained in the subscriber directory 10 is, in a preferred embodiment, maintained in bit-string format which facilitates the ability to sort such data and subsequently match the data to corresponding requirements in the printer appliances 82 upon broadcast.

10        A sample of possible bit-string groupings is included in FIG. 3 in which multiple digit numbers are assigned to a particular field and data. For example, bits between 000 and 100 may be assigned to the geography and zip code of the subscriber (store); bits 101-130 may be assigned to household or store composition data; bits between 131-160 to the type of home or store; 161-170 to the family income or store traffic patterns by day; 171-200; and above 200 to brand usage or product movement cycles or even product or store/chain competitive information, etc. It is anticipated that up to 1000 bits may be used in this regard although this number may be increased or decreased if necessary.

15        The system control center 20 may be run on most current CPU's including microcomputers and main frame computers and provides overall management and administration for the entire information delivery system. It is composed of a computer and associated input/output peripherals incorporating software modules that perform functions such as:

- a. Collecting and accepting new system information such as the serial numbers of additional printer appliances or receiver-printer-dispensers, new messages, and new and updated subscriber profile data.
- b. Relaying and entering new information to the appropriate repositories, e.g., new serial numbers to the subscriber directory 10, messages to the message bank 30 and profile information to the database manager 40.
- c. Editing, updating and generally maintaining the subscriber directory 10, message bank 30, and database manager 40.
- d. Providing support to the database manager 40 and transmission sequence compiler 50 in posting and dispatching messages, and tracking sender volumetrics for billing.

Basic utilities to support the above functions generally exist within the management system of modern database products.

The message bank 30 is a table in a database of the type such as, for example, Oracle, dBase, Paradox, etc. The message bank 30 serves as a vast repository for collecting and storing messages pending distribution. It would preferably have a highly versatile data reception capability to permit messages to be received electronically, recovered from storage media such as disk, ROM, swipe cards or tape, optically scanned from hard copy by barcode or optical character readers or, as a last resort, entered by keyboard.

Upon entry into the message bank 30, the messages are stored in table format of the type illustrated in FIG. 4. The message bank 30 contains the date that the message is to be delivered, the priority of delivery (e.g., urgent), the type of message, (e.g., coupon, warning, advertisement,

etc.), the text and graphic of the message, the destination criteria (e.g., national, specific subscribers or stores, selected groups of subscribers or stores, etc.) and whether the message needs to be broken into parts due to its length.

The message bank will, on a daily basis, process those messages that are to be delivered during such day. FIG. 5 illustrates the manner in which a sample batch of message may be processed for a particular day. The messages are initially sorted by the date on which they are to be delivered and then by priority. Thus, all urgent messages or time sensitive promotions (e.g., priority 1) would be delivered before increasingly less urgent messages (e.g., priorities 2-0). Messages of a particular priority, e.g., 1 would be delivered in the order that they were initially received by the system.

Bits conforming to the bit-string sequence of the subscriber table identify the destination criterion identified in the message bank table. For example, FIG. 6 illustrates the application of the bit-string procedure discussed previously concerning the subscriber bank 10 with reference to a group of messages. Note, in particular, that by using the bit-string procedure heretofore discussed, it is possible to quickly and specifically target a group or groups of individuals or stores. For example, the coupon may be directed to a specific geographical area, a specific type of household or store and a specific income level or product or store competitive situation by simply identifying the applicable bit-string for the targeted groups.

The database manager 40 is a sophisticated system, preferably of the Oracle or Informix genre, due to its need to handle multiple databases including the subscriber directory 10, the message bank 30, and schedule the transmission of messages or packets of information.

The transmission sequence compiler 50 is a dedicated component with the responsibility for readying message batches so that they can be relayed to the pager network 70 in a controlled and efficient manner. Required tasks for the transmission sequence compiler 50 include sorting and sub-dividing the daily batch into sub-batches for optimal loading to the different modems and prioritizing within these sub-batches to ensure that the messages within the sub-batches are sequenced in accordance with urgency of delivery requirements. An important priority would be, for example, to ensure that early time-zone messages are queued early in the relay process.

FIG. 7 is a sample of the format in which a group of messages ready for transmission would be organized and FIG. 8 provides some examples of data that may be contained in such a table. Note that the messages are sorted by priority and then by message sequence number (MSN). The Destination Code String indicates whether the printer appliances are to receive data based on the individual PMA number or, alternatively, on the bit string code. For example, if the message is intended to be processed only by a particular PMA or RPD, the identification number of the targeted PMA or PMA's or RPD's will be indicated. In such manner, the destination Code String would be "0". Note that one or a multiplicity of PMA or RPD identification numbers can be provided.

Where, however, the message is intended to be processed by "groups" of subscribers based on criteria identified in the bit-string codes, the Destination Code String would read "1" or "2" depending upon whether the message was "public" or "private." If public, that is, if it is intended to be an unsecured message, the Destination Code String would be "1" and the Bit Code String

would be provided. Thus, if the message was intended to be processed by a particular group of subscribers, the bit-string of such group would be identified in the Bit String Code.

The system of the present invention has the ability to deliver private, secure messages to be printed on printer appliances containing a "private" key. In this manner, the Destination Code String would read "2" and both the PMA or RPD number and a Private Key Number unique to that printer appliance or receiver-printer-dispenser would be transmitted as part of the Destination PMA or RPD Code. Secure messages in a store environment may include reports, summaries, and individual unique store communications.

The transmission sequence compiler 50 assigns a unique Message Identification Number ("MIN") to each message for subsequent audit tracking. The MIN number represents that number of the batch in which the message was transmitted, e.g., 00001, followed by the Message Sequence Number and the year. Thus, the MIN for Message Sequence Number 152001 which was transmitted in the first batch in the year 1998 would be "0000115200198". The assignment of such a MIN permits the system to automatically track the transmission of each message delivered to the system. It further serves to facilitate tracking of production efficiency, volumetrics, and quality control measures.

The bank of modems 60 is the primary channel for telecommunication messages to the pager network 70. Conventional off-the-shelf modems such as, for example those provided by U.S. Robotics, Hayes or other conventional modems may be used. The capabilities of everyday modems are improving rapidly with standard transmission rates currently at least 56 KB. The bank of modems may be replaced by a dedicated cable TV link as the system grows.



Batches of messages from the sequence compiler 50 are telecommunicated by the message bank to the wireless pager network 70 by regular modem-modem interconnection. Such communications are buffered at the network head-end and broadcast as traffic permits. They may be maintained by the network in buffer storage and then transmitted in batches along with other messages or interlaced between other messages. This permits more efficient and convenient transmission and at lower costs than transmission at peak rates.

In certain applications, it may be necessary to install a dedicated traffic monitor, with message storage and retrieval modules, between the bank of modems 60 and wireless pager network 70. This utility is intended to efficiently integrate the high volume of lengthy print messages into the regular, pager transmission activity.

Major providers of pager networks are PageNet, SkyTel, ComCast and others. In addition to the foregoing, there are a host of regional pager networks including, for example, Page 2000 by the Southern New England Telephone Company.

It should, of course, be appreciated that the pager network system may constitute virtually any form of wireless broadcast or narrowcast system now known or developed in the future.

In a typical pager network, the messages are transmitted by the modem bank 60 to the wireless pager network 70 where they are amplified and transmitted to a teleport for uplink to the receiving satellite receiver. The messages are then re-broadcast to a plurality of receiver/re-transmitter towers which, again, amplify the signals and re-transmit the messages to the network of printer appliances or receiver-printer-dispensers 80.

The network of printer appliances or RPD's 80 consists of a plurality of individual printer appliances or RPD's 82, each having a unique serial number for identification purposes. These appliances include normal pager components and circuitry, combined with a thermal printer and enclosed in a case with a lid allowing easy access for paper placement.

5 A possible rendition of a printer appliance or RPD 82 is illustrated in FIG. 10 and a flow diagram of the function of the printer appliance or RPD 82 is shown in FIG. 11.

The printer appliance or RPD 82 includes a ferrite core antenna 83, a receiver 84, a decoder 85, filter or format generator 86, microprocessor 87 including random access memory 87A, write only memory 87B and an EPROM 87C, a power supply 88 and a printer 89, preferably  
10 of the thermal type although, in future years as the prices come down, it may be possible to use ink-jet or other computer type printers. A multiplicity of microprocessors 87, random access memory 87A or EPROMS 87C may be required for volume and packet size options to be utilized within each printer.

15 It is preferred that the thermal paper be stored in a fan-folded manner rather than a spool or roll although it should be appreciated that a spool or roll may be utilized in some applications.

The use of fan-fold paper enhances the design efficiency of the unit. Fan-fold paper provides higher residual paper storage density than roll paper. In addition, by perforating the paper, it facilitates separation of the message paper slips. It also folds and lays flat on delivery with minimal curing that is a serious problem with spooled thermal paper. Since the messages to be  
20 delivered using the information delivery system of the present invention are fixed format messages, such formats lend themselves to the use of fan-fold paper.

In a preferred embodiment, the receiver 84 of the printer appliance 82 is a standard pager receiver POCSAG Format, 2400 Baud and the decoder 85 is an off-the shelf POCSAG unit. Other formats than can be used include FLEX and GOLAY. The use of the FLEX format would provide a significant advantage in terms of providing back channel capability for message reception confirmation and is also much more power efficient than the POCSAG format.

A destination code eligibility-matching filter 86 and a basic microprocessor 87, preferably an 8031 or 8051 chip, are also included in the preferred embodiment, but advances in technology and improvements in economics may make RISC or other newer technology more desirable. 1 Kbytes of RAM 87A is typically sufficient along with at least 128 bytes of EPROM 87B, however more or less may be incorporated within various applications. The incorporation of write-only memory 87B is important to permit storage of the subscriber bit string eligibility filter, allowing subsequent matching with the messages being transmitted. In addition, a conventional consumer appliance type power supply 88 is preferred.

It should be appreciated that printers draw considerable wattage so that there is need for a dedicated power supply 88. Such a power supply 88 would preferably be a stand-alone module due to design and operation restrictions as well as economics. The main unit would be fitted with an LED power-on indicator 90 as shown in FIG. 10 so that a user may quickly see that the appliance is in an active mode.

The printer appliance or RPD 82 utilizes established POCSAG technology that is sufficient for most applications. Future versions of the appliance may incorporate a Motorola Flex receiver/decoders if improvements in performance criteria or economics become more attractive

or are required. Most of the other components such as the 8051 processor, RAM and EPROM are mass produced devices available at low cost.

The components of the printer appliance or RPD 82 represent the initial preferred embodiment of such device. The printer appliance or RPD 82 has inherent processing capabilities beyond simply providing the basic tasks. With additional components and control software, extended functionality can be readily incorporated.

The printer appliance or RPD 82 may also include, for example, an RS232 port (not shown) to permit transfer of messages to or from an auxiliary system such as a personal organizer, a laptop or desktop computer, smart card appliances etc. Relay to a personal computer permits messages to be archived, reformatted and the likes as well as offering a host of other print options. It should be appreciated that the printer appliance may also be upgraded to render it compatible with a cable television delivery system of messages such as the one, for example, described in United States 5,500,681 which issued on March 19, 1996 to Charles P. Jones for Apparatus and Method for Generating Coupons in Response to Televised Offers, the disclosure of which is hereby incorporated herein by reference thereto.

Similarly, the incorporation of an input port would permit the printer appliance to function as a utility printer to print, for example, e-mail messages (including communications store to store, from headquarters etc.) thereby rendering the printer appliance 82 a centralized message source.

The incorporation of an IR port (not shown) may also be of particular interest because it may be addressed by an intelligent remote control. See, for example, U.S. Patent No. 5,500,681

that describes a method for transmitting promotional messages via cable television, except print on demand in the current application is triggered by a remote control type device for selectively triggering the printing of stored information. Communication within the store may be from a central communication device to the individual printers via RF.

5           Incorporation of a display 90 for the printer appliance or RPD 82, preferably a LCD, may also serve to facilitate the user interface. It may, for example, flash urgent messages, allow the user to scroll and review messages and provide prompts for error conditions. With additional memory and software upgrades, the recipient may have the choice of visually reviewing the message and selectively printing only those messages that it selected in much the same manner  
10 they currently choose which e-mail messages to print.

As a transfer medium, the inclusion of a magnetic stripe or smart card reader/writer (not shown) into or as an optional attachment to the printer appliance or RPD 82 would also allow portability of the stored message, with subsequent printing done at the user's convenience. It is possible that, for example in the case of discount coupon messages, printers would be available at  
15 the retail establishment where the purchase is to be made. Apart from the portability aspect, limiting printing to only specifically authorized printers may add another layer of security to the process. In future generations of the system, it is possible that coupon messages would never have to exist in print form. Discount coupons stored on the transfer media may be read at the store checkout station or at an in-store kiosk or display incorporating the appliance and the card  
20 reader/writer attachment and the savings applied if the appropriate purchase was made.

In addition, confirmation means (not shown) can be incorporated into the printer appliance or RPD 82. In conventional paging network systems using POCSAG technology, there is no back-channel capability. Thus, the broadcaster does not receive any confirmation that the message has actually been delivered. In order to increase the reception probability, it is common  
5 to employ a redundancy process where repeat message packets are transmitted at different time intervals, but only printing from any printer appliance or RPD a single time.

Each message may be transmitted 2 or 3 times over a course of minutes to insure that they are received. The PMA is able to identify duplicate transmissions and reject the redundant transmission. The receiver has in-built sensing capabilities and ignores repeat receptions. This  
10 methodology has worked exceedingly well and will accommodate the vast majority of messages. Reception confirmation may be an issue in those cases where the message has a significant monetary value, as for airline or event tickets.

SkyTel has recently introduced a paging service that guarantees message reception and does incorporate back channel, confirmation capability. Their system is based on a new Flex  
15 component that does have response capability. Prior to actual message transmission, the particular receiver is polled by the network to determine whether the unit is in an active mode. If a "ready and able" response is echoed, the message is relayed repeatedly until the "AOK" reception confirmation is returned. This modern technology may be utilized when the underlying economics are more favorable for deployment in a high volume consumer appliance or within the store  
20 environment.

The entire system is practical and economically viable only if a large number of printer appliances or RPD's 82 are installed. Factors that will influence mass acceptance are price, design appeal, available distribution channels, ease of use, minimal user responsibility, and ready message display.

5 While the system of the present invention is designed to operate in a continuous message-dispatching mode, it is much more efficient if messages are handled in a batch mode. In this manner, a batch would consist of all messages that were due for delivery on any particular day. These daily batches would be transmitted during the late hours of the prior day and early hours of the due date to take advantage of the low network traffic conditions that exist during sleeping  
10 hours.

A unique feature of the system of the present invention resides in the security features of the system, which are intended to prevent fraud and counterfeiting. While some messages contain only information, others such as coupons or tickets have a defined monetary value and are likely candidates for fraud through unauthorized duplication. The present system employs at least five  
15 reproduction inhibition techniques: (1) chemically treated paper; (2) color printed edges; (3) reverse side printing; (4) incorporation of a high-resolution pattern; and (5) serial number printing.

In this regard, the printer appliances or RPD's 82 may employ special, coated thermal paper having a production identification so as to verify the paper source. Similarly, pre-printed color edges may be used on the paper to require color-replicating equipment for duplication.

20 Specific markings may also be printed on the reverse side of the paper that can be automatically sensed using opto-electronic means which can not only assist in alerting the recipient that the

appliance is out of paper or there is a paper jam but, also, will serve as a deterrent to unauthorized duplication.

Other deterrents that can be employed to prevent counterfeiting include the incorporation of a high-resolution pattern on the reverse side of the paper. Thus, reproduction may only be accomplished with sophisticated and expensive copy equipment. Finally, the printer appliance or RPD 82 would print its serial number on every outputted message which, in addition to providing a unique trace to origin, would serve as a further deterrent to counterfeiting since it is a declaration of identify. In the event that any replication activity was even suspected, a serial number erasure message may be transmitted to immediately disable the particular printer appliance or RPD. Alternatively, messages to a specific printer appliance may be eliminated by the system control center 20 or by a flag or field set into the subscriber directory 10 or even in the database manager 40.

With reference to FIG. 1, operation of the information delivery system of the present invention is as follows. The subscriber directory 10 would include the most relevant and current data for a given subscriber. As previously noted, the subscriber directory 10 would include all applicable bibliographic, demographic and user-characteristic information. It is anticipated that the information in the subscriber directory 10 would be updated on a regular basis as new members or stores are added or deleted, additional printers are added or deleted within a store, and as the information relating to a particular member or store changes. Such data can be inputted either manually or electronically.



It should be noted that for ease of distribution, it is advisable to assign bit-strings to the data contained for a particular subscriber. In this manner, messages can be broadcast for processing only by one or a number of specific appliances or, alternatively, by those appliances that have characteristics that match the criteria in the message. The use of bit-strings substantially reduces the amount of data that needs to be processed by the system when determining the potential recipients of a particular message.

Simultaneously, messages that are to be delivered are inputted into the message bank 30 with specific information concerning the message and delivery instructions, e.g., intended recipients, priority, time and date of delivery, etc.

Upon entry of the appropriate message or messages into the message bank 30 and the subscriber information into the subscription directory 10, the system control center 20 and the database manager 40 then reviews all messages stored in the message bank 30 and segregates them based on the date or dates on which they are to be delivered. Those messages that are to be delivered on a particular date or for a very limited time, like special "event" type promotions would be identified and segregated. A sample batch of typical messages to be delivered on a particular date is illustrated in FIG. 5.

The database manager 40 then assigns bit-string destination codes for the data contained in the batch of messages using the criteria previously identified in FIG. 6.

The message is then formatted by the inclusion of detailed text and graphics if so instructed. It is contemplated that the database manager 40 will include a vast store of graphic templates such as, for example, prior coupon images, invoice and appointment reminder layouts,

logos, simple product pictures, clip-art and a wide variety of text fonts. The database manager 40 would also incorporate a dedicated publisher module with access to the template files and a general capability for semi-automatically designing the printed-message layout. The publisher module would assist in the generation of publisher quality material and would include standards 5 logos, clipart and photographs that would be included in the message. In this manner, the message may simply reference a logo or piece of artwork contained in the publisher module that would then substitute the stored artwork or photographs for incorporation into the message.

In the case of manufacturer discount coupons, the industry guidelines require that the coupon be bar-coded using a standard UPC Coupon Code format which permits a retailer to 10 automatically scan the coupon at the checkout register. Basic code information identifies the issuing manufacturer, classifies product type with a group family code, and denotes the coupon value. An appendix code has recently been endorsed in UPC/EAN format that can carry additional information such as offer number, expiration date and household identification. The publisher may include a subsystem that would automatically generate the numbers for the bar 15 codes and embed them in the coupon message.

Prior to release for relay, the message images might require some manual editing in order to optimize the esthetic presentation of the image.

The compiler 50 then compiles all applicable messages for a particular date, puts them in priority order and then readies them for broadcast.

20 Where a specific message such as, for example, a message reminder, is to be transmitted to a single appliance, the message would include the specific serial number of the appliance to

which the message is to be directed. Similarly, where messages are to be distributed to all appliances, the message would include a default number common to all active appliances, e.g., 99999.

When messages are to be delivered to a profiled group of recipients, the Database Manager 40 would search the database, extract subscribers from the Subscriber Directory 10 matching the specific profile criteria, and then extract the serial numbers of the appropriate appliances from the Subscriber Directory for dissemination to such appliances. It is also possible, especially in the case of promotion or advertising messages that the sender will want delivery to as many recipients as can be reached on his particular roster. This would require that the Database Manager 40 to overlay the customer or store roster with the Subscriber Directory 10, and extract those serial numbers of the common entries.

Similarly, where the message is to be delivered to a group of subscribers, the bit-string code described above would be part of the message transmitted.

The final process in preparing the daily batch for transmission requires that the transmission sequence compiler 50 in FIG. 1 serializes the message code strings in a priority sequence as determined by delivery urgency. Some messages must be delivered the next day, while others may be carried over to the following day without difficulty. This allows for accommodation if the system becomes capacity stressed or slowed by high network traffic. The daily batch would actually be subdivided before sequence so that the smaller batches may be dispersed amongst many modems and messages and relayed in parallel to the head-end of the pager network 70. Messages will then likely be broadcast by the pager wireless pager network 70

within the normal pager frequency band of 929-932 MHz along with other regular paging message activity.

Messages are then received by all of the printer appliances or RPD's 82 in the appliance network 80. Functionally the printer appliance or RPD 82 operates as follows:

- 5        1. The broadcast messages are sensed by the antenna 83 and fed to the receiver 84.
2. The message signal is then pre-amplified and decoded in the CPU.
3. Message acceptance is achieved by the CPU 87 performing a software, password-  
         matching processes. Each particular appliance has at least four levels of passwords,  
         namely: (1) the default appliance serial number (all "9's" to accept and process national  
10        messages); (2) the specific appliance serial number (a unique number assigned to each  
         individual appliance); (3) a bit-string eligibility code number (which is derived from the  
         profile of the subscriber); and (4) the private PIN number as defined by the subscriber (for  
         processing of highly secure messages). Each message is codes with one or more of the  
         aforesaid numbers
- 15       4. RAM memory 87A is needed by the CPU 87 for performing multiple tasks including, for  
         example, system management, message handling, destination code matching, bar code generation  
         and the like. EPROM 87C would store security information, and in particular the appliance serial  
         number. Information concerning the characteristics of the subscriber would be stored in the  
         write-only memory 87B to protect against loss during a power failure.

20       FIG. 12 illustrates the critical, message eligibility process that the CPU in the local printer  
         appliance 82 must perform. To determine whether a message is being addressed to a particular

printer appliance 82; the CPU interrogates the destination code header. If the Destination Code String is "0" indicating that the message may only be processed by a specific printer appliance or RPD or appliances, it then determines whether there is a match with the PMA or RPD number being transmitted with that of the appliance. If there is a match, the message is processed. If not,  
5 the process is aborted.

Similarly, if the Destination Code String is "1" indicating that only appliances with a particular bit-string code may process the messages, it then compares the bit-string of the appliance with that of the message. If there is a match to all or a predetermined percentage, the message is then passed on for processing. If there is no match, the process is aborted.

10 Lastly, if the Destination Code String is "2" indicating that the message is being transmitted to a specific appliance or appliances having a private key, it looks to match the PMA or RPD number of the message with that of the appliance. Standard encryption techniques used in RSA and PGP use a public key/private key encryption. An algorithm in the PMA or RPD will decrypt the message, process the decrypted message and print it out. It can only be decrypted by  
15 the PMA or RPD with the private key. If there is a match, it then performs a second function by attempting to match the appliance's private PIN number with the PIN number being transmitted. If both match, the message is processed. If not, the process is aborted.

FIGS 13 and 14 illustrate a particular sample of bit-string matching between the destination bit-strings contained within the broadcast message and the eligibility bit-string code  
20 number contained in the appliance. Note that there needs to be a complete match between the destination bit-string contained in the message and the bit-string of the appliance. In certain

situations, it may be feasible to permit the appliance to process a message where only a predetermined percentage of the bit-strings are matched, e.g., at least 25%.

FIG 15 illustrates the manner in which messages within the appliance may be processed and eventually printed using a message interpretation process. Incoming messages will typically be received in a highly condensed form as the Sequence Compiler has adopted compaction processes such as zipping, zero compression, bit packing and general data compression. The CPU must then decode and decompress the message content.

As previously discussed, in order for a Pager-Network provider to handle the message in a normal manner, the message must be contained within a specified packet length or, alternatively, will be packetized over multiple packets. Some messages, particularly coupons, will include significant graphic content and the total message may have to be transmitted in the form of a packet series. This necessitates inclusion of a pre-content header indicating the number of parts to the message. A byte indicator packet, for example 1 of 3, would serve to alert the system that the message is not complete until all three parts have been received and that the CPU will need to merge the packet contents and reconstruct the total page prior to generating print code.

A particular application of the subject invention involves using the wireless system to broadcast coupons to an array of in-store receiver-printer-dispenser units. FIG. 16 illustrates such a coupon distribution system, in which the components are described as: (1) reservation system 100, (2) promotion control center 110, (3) graphics design module 120, (4) modems 130, (5) pager network (140) and (6) in-store arrays of receiver-printer-dispenser units 150. It should be appreciated that the reservation system 100 corresponds to the message bank 30, the modems 130

correspond to the bank of modems 60, the pager network 140 corresponds to the wireless pager network 70 and the in-store arrays of receiver-printer-dispenser units correspond to the network of printer appliances 80. The promotion control center 110 incorporates the system control center 20, subscriber directory 10, database manager 40 and transmission sequence compiler 50.

5 The addition of the separate graphics design module 120 is designed to facilitate the incorporation of graphical images into the coupons generated by the system.

The in-store array of receiver-printer-dispenser units 150 consists of a plurality of individual receiver-printer-dispenser (RPD) units 151, each having a unique serial number for identification purposes. A flow diagram of the function of the RPD units 151 is shown in FIG. 17.

10 The RPD unit 151 includes a ferrite core antenna 152, a receiver 153, a decoder 154, format generator 155, a reprint sensor 156, microprocessor 157 including random access memory 157A, flash memory 157B and an EPROM 157C, a power supply 158 and a printer 159. The functionality of the RPD unit 151 is significantly enhanced by the addition of the flash memory 157B and the reprint sensor 156, as well as by the addition of a visual display 166, audio  
15 component 168 and motion detector (not shown). On the assigned distribution date, the promotion control center 110 codes the detailed offer information into a format compatible with pager network 140 transmission requirements. These coded signals are relayed to the pager network 140 via a modems 130. In turn, the pager network 140 broadcasts the coupon offer signals which are detected by the RPD units 150 arranged in various configurations within a store.

20 The antenna 152 senses the coupon signal as broadcast by the pager network 140. A receiver 153, such as a Motorola POCSAG demodulates and amplifies this signal and relays it to the decoder

154 and format generator 155 so that the coupon can be printed. The coupon signal is also stored in flash memory 157B for later printing. An emitter-detector pair in the reprint sensor 156 detects removal of a coupon and orders a fresh coupon to be printed from the originally transmitted image as stored in flash memory 157B. This action would also activate a counter so that the  
5 volume of coupons distributed can be recorded.

The E-Prom 157C contains a unique serial number identifying each individual RPD unit 151. Every transmitted message has a destination header containing the serial number of the particular RPD unit 151 eligible to receive the message. A matching operation is performed prior to full decoding to determine whether an incoming message is eligible for printing.

10 A schematic of a basic RPD unit 151 is shown in FIG 18, and an illustration of a typical shelf RPD unit 151 is shown in FIG. 19. In the preferred embodiment, the physical dimensions of the RPD unit 151 are 8 inches high by 4 wide and 2 inches deep. Such dimensions allow the RPD unit 151 to be placed on a display shelf without obstructing the products unnecessarily, while still being large enough to be visible to a consumer and to print coupons. The RPD unit 151 may  
15 include a paper loader 160 for insertion of the paper that coupons 162 are printed upon. A status indicator 164 may consist of an LED that lights when the RPD unit 151 is active or flashes when there is an internal error. In the basic RPD unit 151 shown in FIG. 18, the reprint sensor 156 is of the form of an LED emitter/silicon detector pair.

The RPD unit 151 may also be fitted with a display 166 for the display of a visual message  
20 appropriate for the product or coupon 162, as well as a speaker module 168 for the broadcast of an audio message relative thereto. The display 166 may include any type of electro-optical



signage such LED, LCD, Plasma or CRT, depending upon configuration of the RPD unit 151 and its location in the store. LED or LCD may be more appropriate for smaller configurations such as bulletin boards or shelf units while Plasma or CRT would be more suitable for bigger kiosk or end-cap configurations. The speaker module 168 is composed of a dedicated receiver, controller, voice-chip, speaker and a motion sensor (not shown). As a potential customer comes within range of the coupon dispenser, the motion detector would activate the audio message in order to entice a customer to take the coupon.

The RPD units 151 can be arranged in a variety of configurations such as bulletin boards, wall displays, entry kiosks, end of aisle stands and shelf displays. The configuration of the RPD units 151 are detailed in a store layout plan in which each array configuration is designated by type, position in the store, and the serial number of each RPD unit in each array.

A bulletin board dispenser 170 is illustrated in FIG. 20. This configuration is composed of multiple RPD units 151 assembled on a plug-in board 172 with a large display module 174. The display module 174 may be an LCD or LED display controlled by display controller 176. The bulletin board dispenser 170 may also include an audio module with speakers 178. The display module 174, audio module 178 and RPD units 151 would all be provided power by power supply modules 179.

Figure 21 through 24 illustrate various other configurations for the RPD units 151 in store displays. In all the configurations, visual and audio displays could be incorporated to create further interest. Figure 21 shows an end-cap dispensers 180 configuration wherein an array of RPD units 151 are arranged to attract attention and provide easy accessibility to the dispensed

coupons. Figure 22 depicts a booth or kiosk display 182 with an array of RPD units 151. Figure 24 is a sample floor plan showing a variety of different RPD unit 151 displays, including booth displays 182, end-cap dispensers 180, shelf dispensers 184 and bulletin board dispensers 170.

In an alternate embodiment of the current invention, the RPD units 151 may be  
5 programmed locally by a store operator to add promotions directly. Such a facility enables the retailer to run his own promotions on RPD units 151 scheduled for this purpose. The local load out could be done via the pager network or, alternatively, through a dedicated loading module.

In yet another embodiment of the current invention, software is provided for entering and encoding promotions directly. The software would be installed on the store computer and relay  
10 of the promotion would occur via modem to the pager network and on to the addressed RPD units 151. Alternatively, this software could be installed on a computer at the retailer headquarters which could then control all retail promotions for the complete store/chain roster. This adaptation at the store or headquarters could also be used to interface or integrate the current invention with an in-store loyalty program. Or, this adaptation of the current invention could be used for sending  
15 secure/confidential messages throughout the chain or to individual stores. Secure messages in a store environment may include reports, summaries, and individual, unique store communications.

In still another alternate embodiment, a dedicated piece of hardware including a central processing unit, keyboard, display, memory and a local RF transmitter emulating a normal pager network signal may be used by an operator to configure and address any particular RPD unit 151  
20 and enter a desired promotion.

It should be appreciated that the RPD units 151 need not be limited to printing coupons. For example, the RPD units 151 could also serve as a dynamic dispenser of rebate claim forms, surveys, contest announcements and entries, sweepstakes entries etc. or any other often-requested forms or printed matter.

- 5           Having thus described the invention with particular reference to the preferred forms thereof, it will be obvious that various changes and modifications can be made therein without departing from the spirit and scope of the present invention as defined by the appended claims.

1    **WHEREFORE, WE CLAIM**

2           1. A system for distributing packets of information to individuals at selected retail  
3 locations, said system including:

4           means for creating said packets of information in a digital format;

5           a wireless network for transmitting said packets of information to said retail locations; and

6           a network of printer appliances at said locations, each of said appliances being adapted to:

7                 selectively receive, process and store said transmitted packets of information; and

8                 convert said packets of information into a printed format for distribution to said  
9 individuals.

1           2. The system of claim 1, wherein said packets of information are redeemable retail  
2 coupons.

1           3. The system of claim 1, wherein each of said printer appliances further includes a sensor  
2 adapted to detect whether said printed packet has been removed from said appliance.

1           4. The system of claim 3, wherein said printer appliance further includes means for  
2 printing an additional copy of said packet upon detection by said sensor that said printed packet  
3 has been removed from said appliance.

1           5. A system for distributing packets of information to individuals at selected retail  
2 locations, said system including:

3           means for creating said packets of information in digital format;

4           means for identifying intended recipients of said packets of information;

5           means for establishing a sequence of distribution of said packets of information;

6           a wireless network for transmitting said packets of information to said retail locations; and

7           a network of printer appliances at said locations, each of said appliances being adapted to:

8                 selectively receive, process and store said transmitted packets of information; and

9                 convert said packets of information into a printed format for distribution to said  
10 individuals.

1           6. The system of claim 5, wherein said packets of information are redeemable retail  
2 coupons.

1           7. The system of claim 5, wherein said means for creating includes means for importing  
2 textual and graphic information relating to said packets.

1           8. The system of claim 5, wherein said means for identifying includes a database manager  
2 having a directory of all printer appliances and means for identifying those printer appliances to  
3 which each of said packets are intended to be distributed.

1           9. The system of claim 5, wherein said means for sequencing comprises a transmission  
2 sequence compiler.

1           10. The system of claim 5, wherein said wireless network comprises at least one wireless  
2 pager.

1           11. The system of claim 5, wherein each of said printer appliances further includes a  
2 sensor adapted to detect whether said printed packet has been removed from said appliance.

1           12. The system of claim 11, wherein said printer appliance further includes means for  
2 printing an additional copy of said packet upon detection by said sensor that said printed packet  
3 has been removed from said appliance.

1           13. The system of claim 1, wherein said printer appliance further includes a motion  
2 detector to detect the presence of an individual in the immediate proximity of said appliance and  
3 means to display a stimulus upon the detection of the presence of said individual.

1           14. A printer appliance for use in a system for distributing packets of information to  
2 individuals at selected retail locations, said appliance including:

3                       means for receiving information from a remote source transmitted over a  
4 pager network;

5                       means for storing the information received from said remote source; and

6                       means for converting said transmitted information into a printed format for  
7 distribution to said individuals.

1           15. The appliance of claim 14, further including a sensor adapted to detect whether said  
2 printed packet has been removed from said appliance.

1           16. The printer appliance of claim 15, further including means for printing an additional  
2 copy of said packet upon detection of said sensor that said printed packet has been removed from  
3 said appliance.

1           17. The printer appliance of claim 14, further including means to attract the attention of  
2 said individuals.

1           18. The printer appliance of claim 17, wherein said means to attract comprises visual  
2 display means.

1 19. The printer appliance of claim 17, wherein said means to attract comprises  
2 annunciator means.

1 20. The printer appliance of claim 17, wherein said appliance further includes a motion  
2 detector to detect the presence of an individual in the immediate proximity of said appliance and  
3 activate said means to attract.

1 21. A method for distributing packets of information to individuals at selected retail  
2 locations, said method including:  
3 creating said packets of information in a digital format;  
4 transmitting said packets of information to a network of printer appliances at said  
5 locations over a wireless network;  
6 selectively receiving, processing and storing said transmitted packets of information at  
7 selected appliances; and  
8 converting said packets of information into a printed format for distribution to said  
9 individuals.

1 22. The method of claim 21, wherein said packets are redeemable retail coupons.

1 23. The method of claim 21, further including the steps of detecting the removal of said  
2 printed packet from said appliance and thereafter printing an additional copy of said packet.



1           24. A method for distributing redeemable retail coupons to individuals at selected retail  
2 locations, said method including:  
3           creating said coupons in a digital format;  
4           transmitting said coupons to a network of printer appliances at said locations over a  
5 wireless network;  
6           selectively receiving, processing and storing said transmitted coupons at selected  
7 appliances;  
8           converting said coupons into a printed format for distribution to said individuals; and  
9           detecting the removal of a printed coupon from said appliance and thereafter printing an  
10 additional coupon for distribution.

1           25. The method of claim 24, further including providing audio and visual stimulus means  
2 to enhance the appeal of the printer appliance and to encourage consumers to accept said coupon  
3 and motion sensor to activate audio and visual stimulus.

## ABSTRACT OF THE DISCLOSURE

A system is provided for distributing in a retail environment one or more packets of information selected from the group consisting of redeemable coupons, forms, messages, tickets, warnings and written packets to potential recipients of said packets from a receiver-printer-dispenser at a remote location. The steps included in such a system are: (1) developing a receiver directory containing unique identification information for each of said potential receiver-printer-dispenser within a store or retail environment; (2) creating a packet to be dispatched to at least one of said RPD; (3) identifying the potential RPD's for a particular packet; (4) transmitting said packet through a pager network to all of said potential RPD's; (5) receiving, storing and selectively processing and printing only those packets intended to be processed and printed by said appliance; and (6) detecting whether said printed packet has been removed, and printing another copy of said packet in the event the printed packet has been removed. A variety of audio and visual display components and motion sensors are also provided to enhance the appeal of the RPD's and encourage consumers to accept the printed packet.

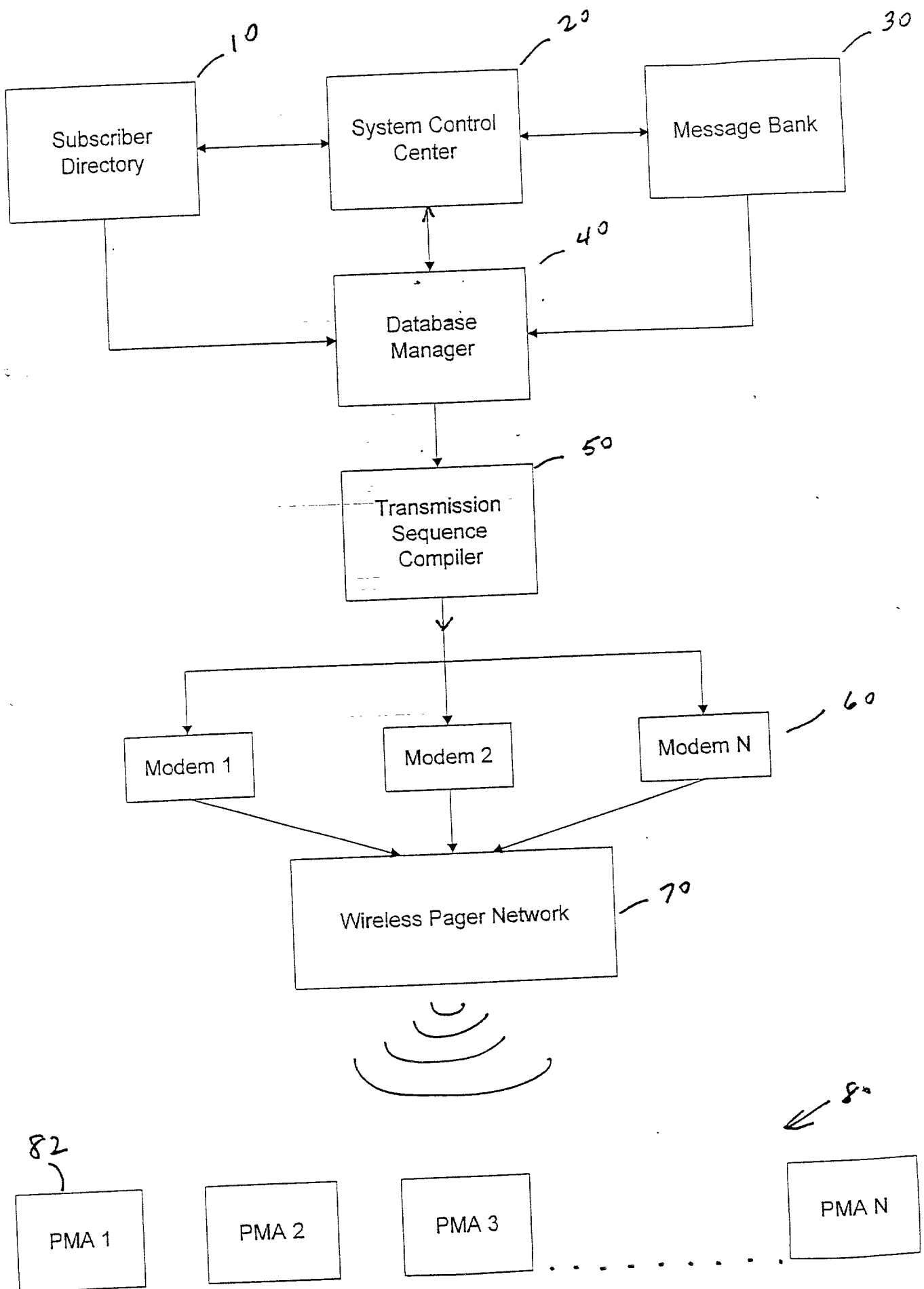


FIG. 1

# SUBSCRIBER DIRECTORY

PRM NO	LAST NAME	FIRST NAME	ADDRESS	CITY	STATE	ZIP	REGION	FAMILY	RESIDENCE	INCOME	SPORTS INTEREST	AUTO	COMPUTER
17432	Jones	Tom	1234 First Street	El Paso	TX	79932	Southwest	2 A, 2C	Single Family	\$ 112,000.00	Football	Ford	Compaq
20731	Smith	Dave	9999 Melrose Place	Chicago	IL	60616	Midwest	S	Apartment	\$ 41,000.00	Football	Chevy	Sony

FIG. 2

## PROFILE BIT-STRING GROUPINGS

Bit-String Number	Type	Profile Characteristics
000-100	Geography, Zip Codes	00 National 01-99 Zip Codes
101-130	Household Composition	Number, Males, Females Children, Pets, etc. Apartment, Townhouse Condo, Single Family
131-160	Home Type	Ranges in \$1K <15; 15-30; 31-50; 51-75; 75-100; etc.
161-170	Household Income	Hobbies, Interests, Activities, Affiliations, etc. Grocer, Department Stores, Automobiles, Computers, Audio Equipment Packaged Goods, etc.
171-200	Lifestyle Interests	
201-1000	Brand Usage	

FIG. 3

MESSAGE BANK TABLE

DATE	PRIORITY	MESSAGE TYPE	TEXT	GRAPHICS	DESTINATION CRITERIA	PARTS

FIG. 4

DAILY MESSAGE BATCH.....DUE DATE 6/1/98

MESSAGE SEQ. NO.	PRIORITY	SENDER	MESSAGE TYPE	TEXT	GRAPHICS	DESTINATION CRITERIA	PARTS
1520001	1	Kellog	Coupon	Corn Flakes....\$1.00	Logo, Package Picture	National	1
1520002	1	IRS	Reminder	Estimated Tax Due by September 15, 1998	Smiley Face, Clip Art	Incomes >\$75,000	1
1520003	2	Ford	Rebate	\$500 Rebate for Escort Owners, Due by 10/1/98	Logo, Silhouette	Ford Owners	1
1520004	3	Columbia	Reminder	Medical Visit Appointment	Logo, Clip Art	Patient PMA Nos.	1
1520005	4	Delta	Confirmation	Prior Day Detail of Reservations	Logo, Clip Art	PMA's of Subscribers	1
	5	Molly Maid	Reminder	Scheduled Cleaning Visits	Logo, Clip Art	PMA's of Subscribers	1

FIG. 5

TYPICAL MESSAGE BIT-STRING DESTINATION CODES

MESSAGE TYPE:		COUPON	ADVERTISEMENT WARNING	
Criteria		Zips 76-80 Single Family >\$50K Income	Zips 00-99 All Known Pic 'N Save Shoppers	Zips 60-70 Snow Alert
<u>Bit String (Category)</u>				
000	Geography	76-80	0-100	60-70
100				
101	Composition	101-130	101-130	101-130
130				
131	Residence	135	131-160	131-160
160				
161	Income	161-170	161-170	161-170
170				
171	Interests	171-200	171-200	171-200
200				
201	Brand Usage	201-1000	443	201-1000
1000				

FIG. 6

# INDIVIDUAL MESSAGE CODE STRING

MSN No.	Priority Code	Proportional Format, Graphics & Text	Destination Code String	Destination PMA Code	Bit String Code

**FIG. 7**

## EXAMPLE OF DATA CONTENT IN SAMPLE COUPON MESSAGE

MSN No.	Priority Code	Proportional Format, Graphics & Text	Destination Code String	Destination PMA Code	Bit String Code
1520001	1	Mfg. Logo, product silhouette, value, expire date, terms and conditions of offer, bar code offer number	0	9999999999	000 000 ...169...
1520002	2	Estimated Tax Due 9/15/98; Smiley Face Clip Art	1	000123456 + Private Key PIN No.	
1520005	3	Confirmation of Reservations; Logo and Clip Art	2	000001076 000022085	
1520006	4	Reminder to Get Teeth Cleaned	0		

**FIG. 8**

# MESSAGE IDENTIFICATION NUMBER

tch	Message Sequence Number	Year
	152001	98
	152002	98
	152003	98

**FIG. 9**

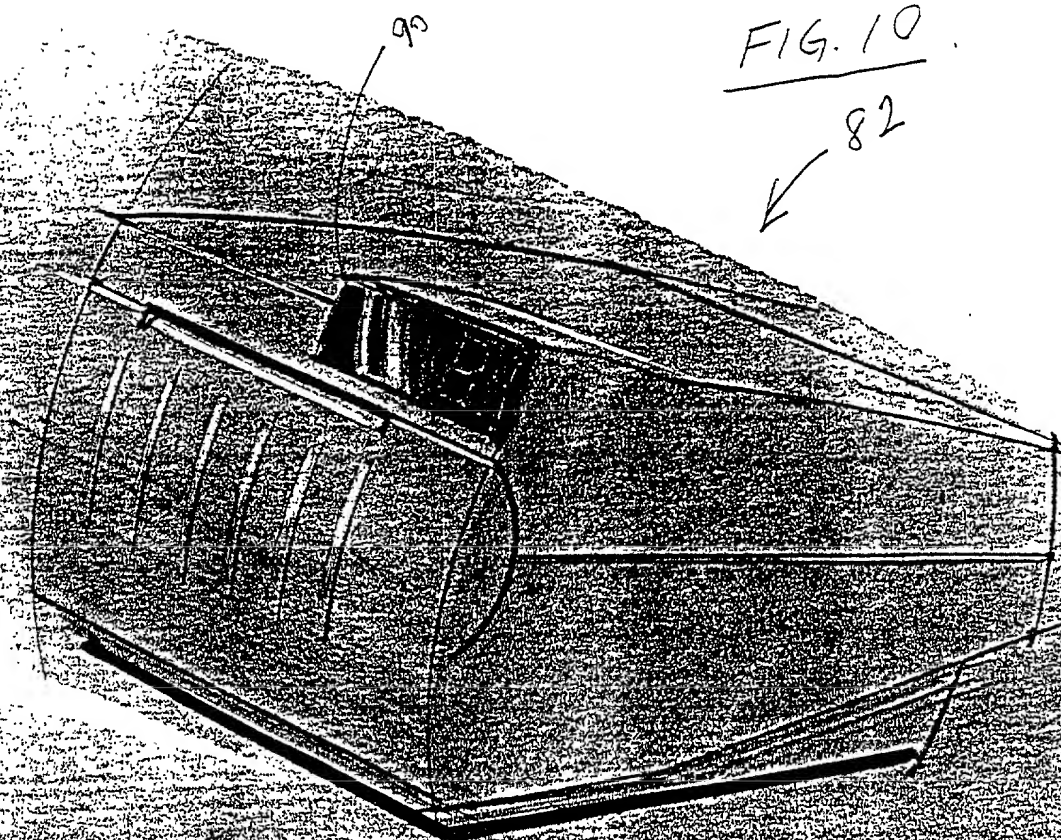


FIG. 10

82

09/23/2008 10:54:26



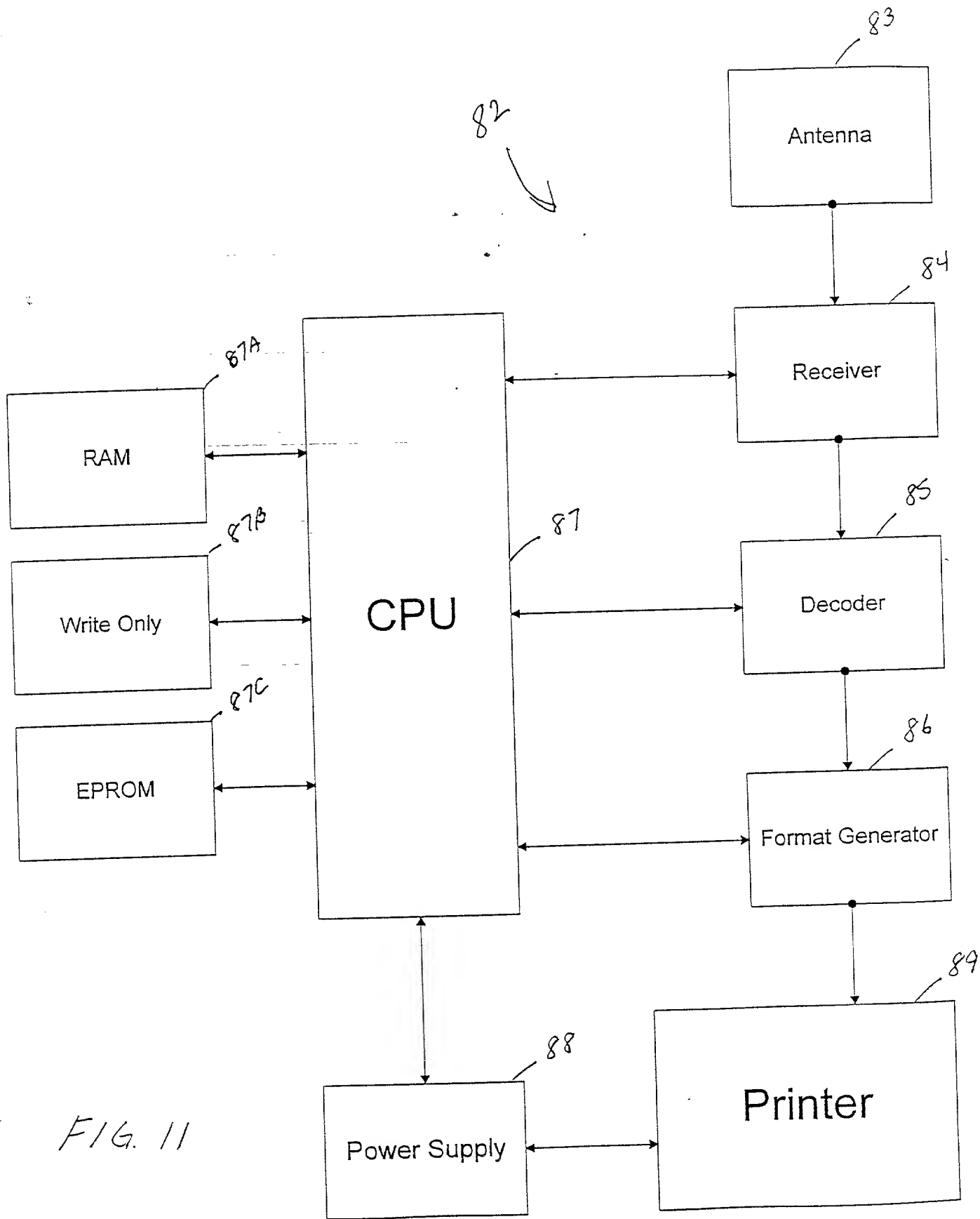


FIG. 11

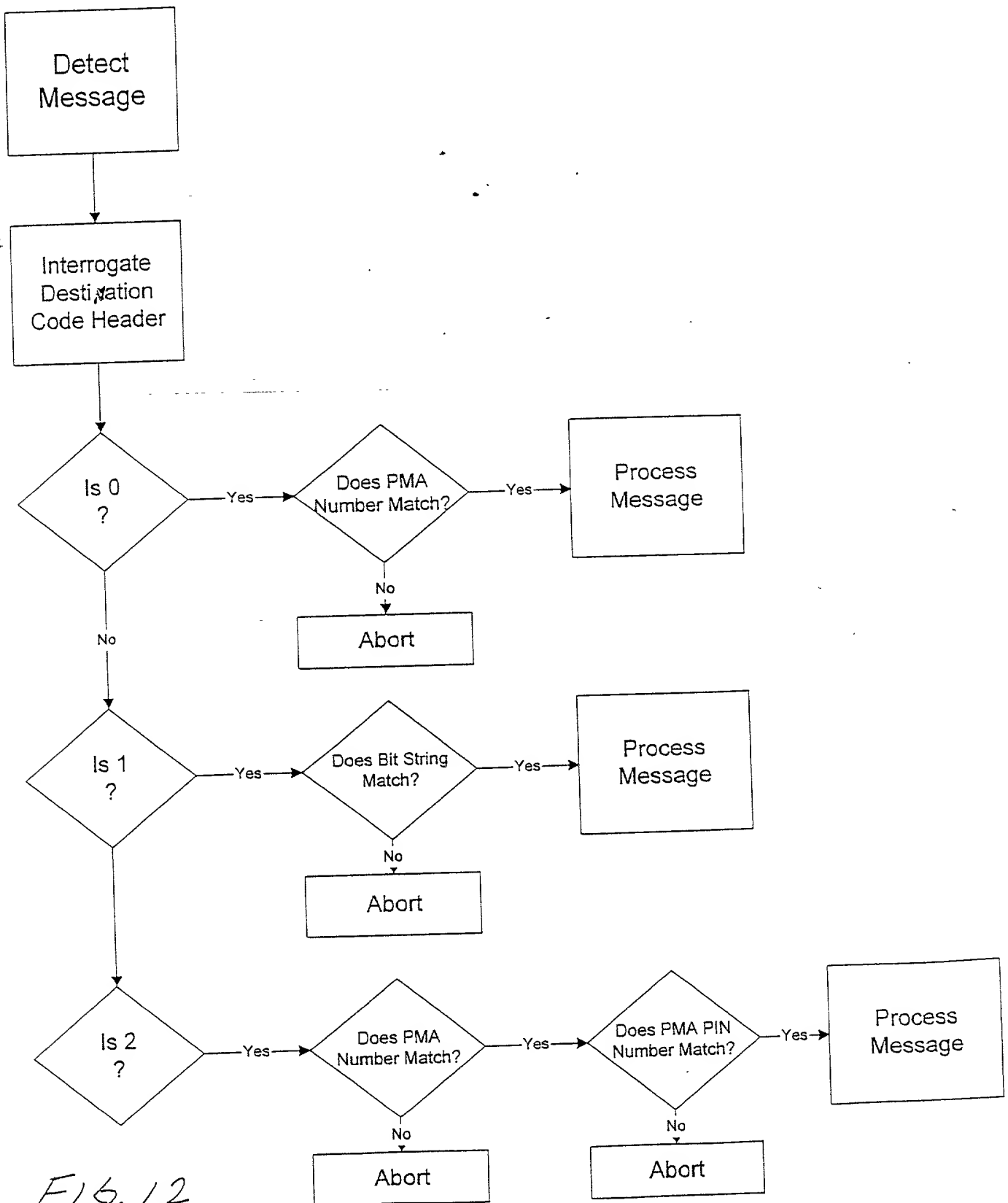


FIG. 12

# SAMPLE PMA ELIGIBILITY BIT-STRING CODE NUMBERS

	<u>PROFILE</u>	<u>ACTIVE BITS</u>	<u>PROFILE</u>	<u>ACTIVE BITS</u>
PMA UNIT NO.	17432		20731	
LOCATION 0-100	El Paso Zip 79932	79	Chicago Zip 60616	60
COMPOSITION 101-130	2 Adults 2 Children	128	1 Male	102
RESIDENCE 131-160	Single Family	135	Apartment	132
INCOME 161-170	\$112,000	168	\$41,000	162
INTERESTS 171-200	Gardening Football Computers	174 181 190	Horses Football NASCAR	172 181 187
BRAND USAGE 201-1000	Ford Compaq Dillards Panasonic Kellogg Nabisco	206 312 427 539 611 788	Chevrolet Macintosh Pic 'N Save Sony Post General Foods	208 336 443 588 618 721

FIG. 13

# RECEPTION ACCEPTANCE MATCHING

## MESSAGE DESTINATION STRING

Coupon	76-80	101-130	135	161-170	171-200	201-1000
Advert.	0-100	101-130	131-160	161-170	171-200	240
Warning	60-69	101-130	131-160	161-170	171-200	201-1000

## PMA ACCEPTANCE STRINGS

PMA 17432 79	128	135	168	174,181 190	206,212 227,239 240,251 253
PMA 20731 60	102	132	162	172,181 187	208,236 243,249

## RECEPTION ACCEPTANCE TEST

### PMA 17432

Coupon	Yes	Yes	Yes	Yes	Yes	Yes
Advert.	Yes	Yes	Yes	Yes	Yes	No
Warning	No		Ineligible			

### PMA 20731

Coupon	No		Ineligible			
Advert.	Yes	Yes	Yes	Yes	Yes	No
Warning	Yes	Yes	Yes	Yes	Yes	Yes

PMA 20731 accepts and prints the Warning only

FIG. 14

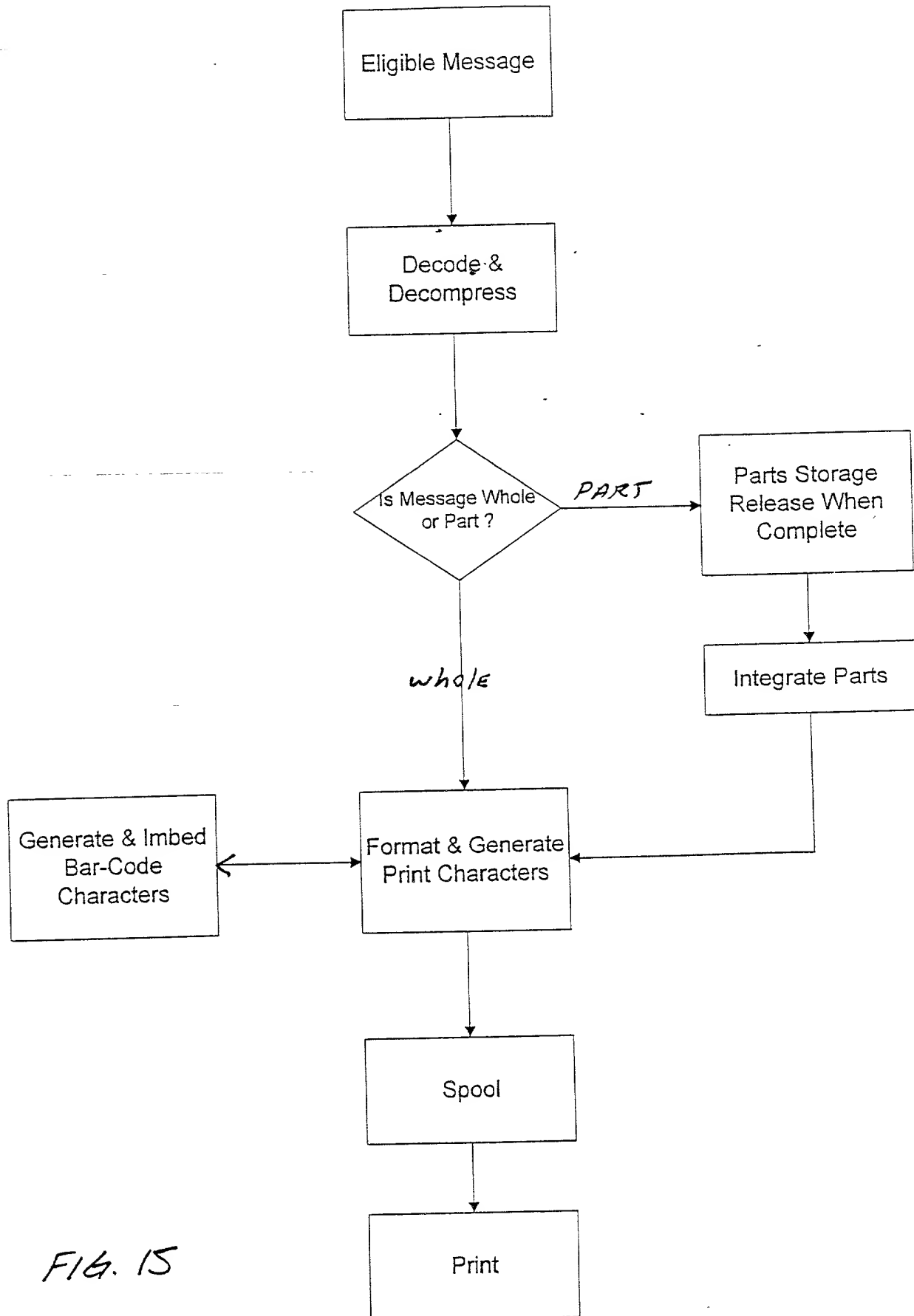


FIG. 15

# PRIMARY COUPON DISTRIBUTION SYSTEM

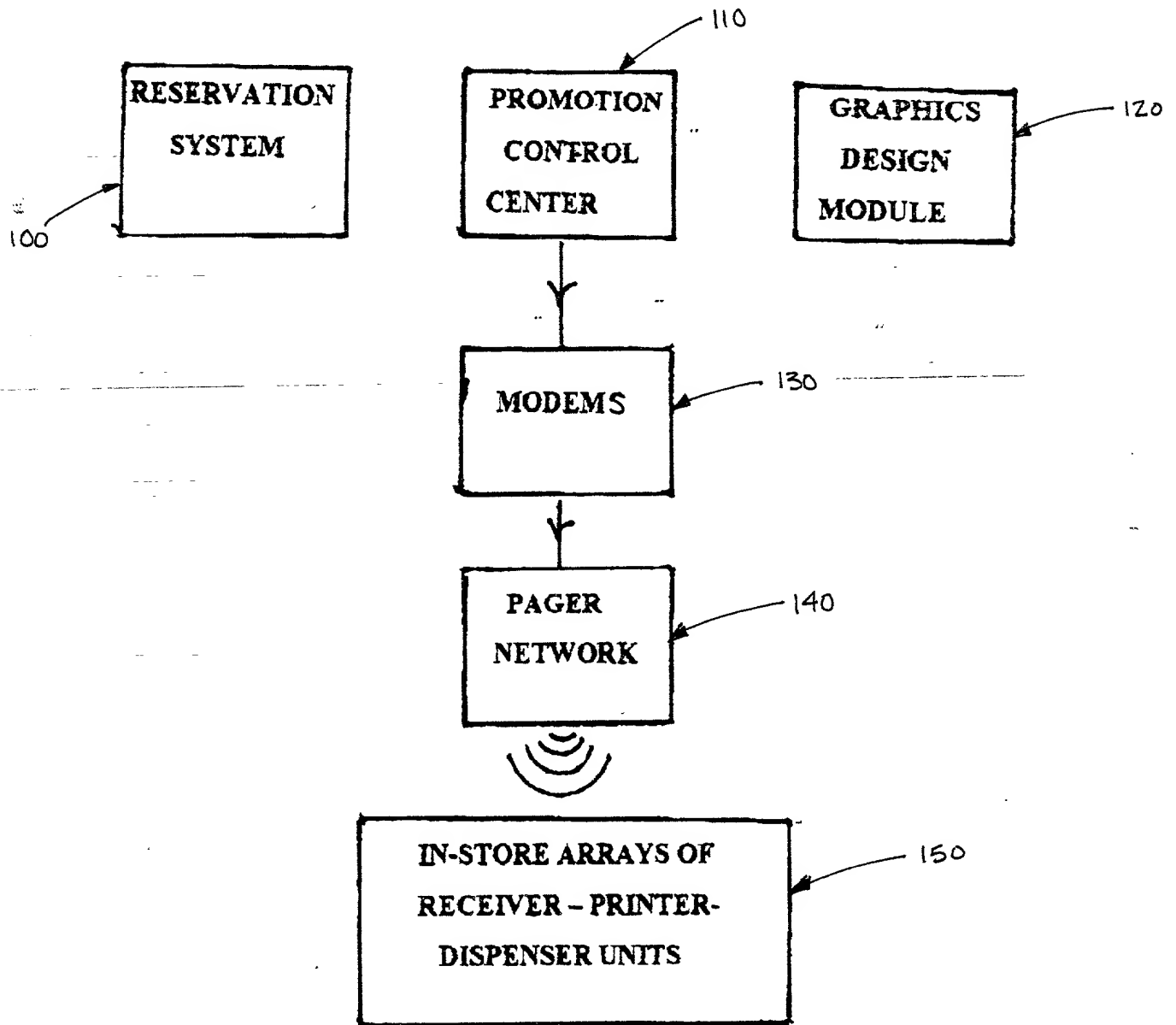
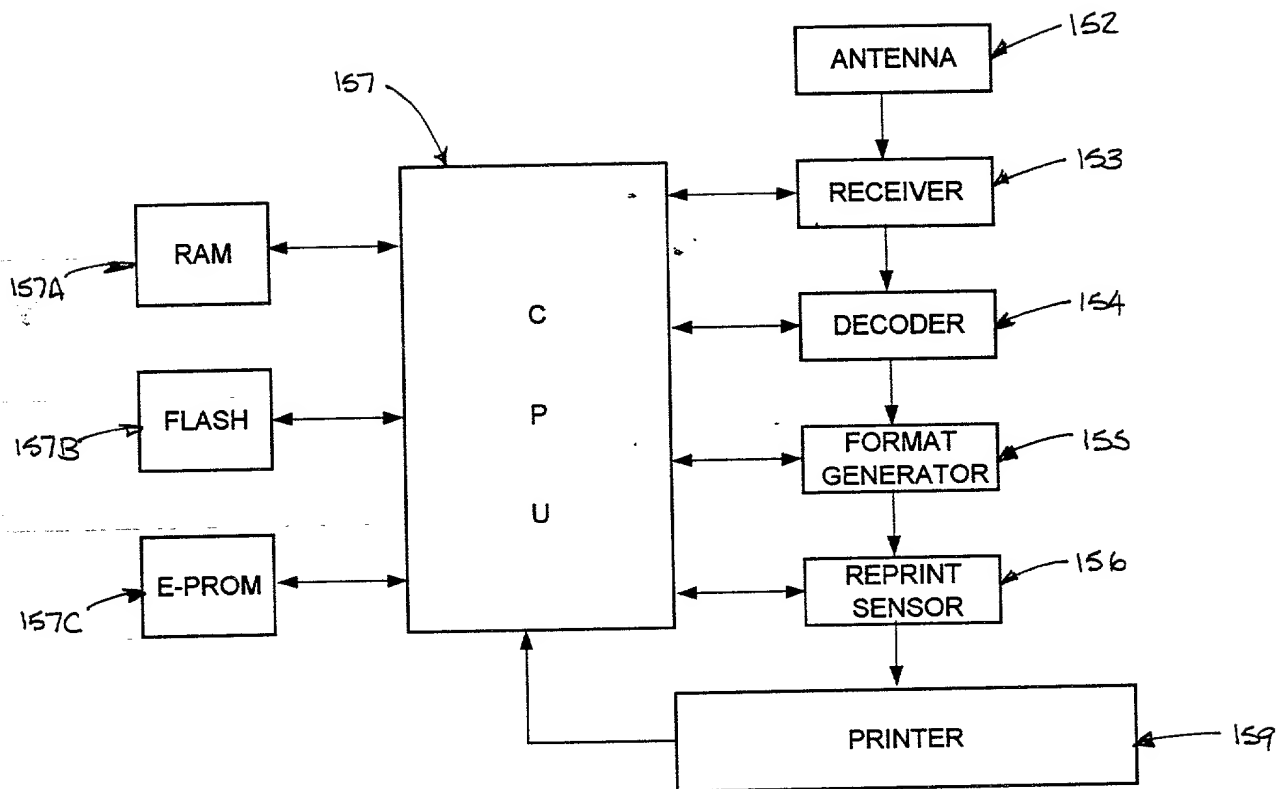
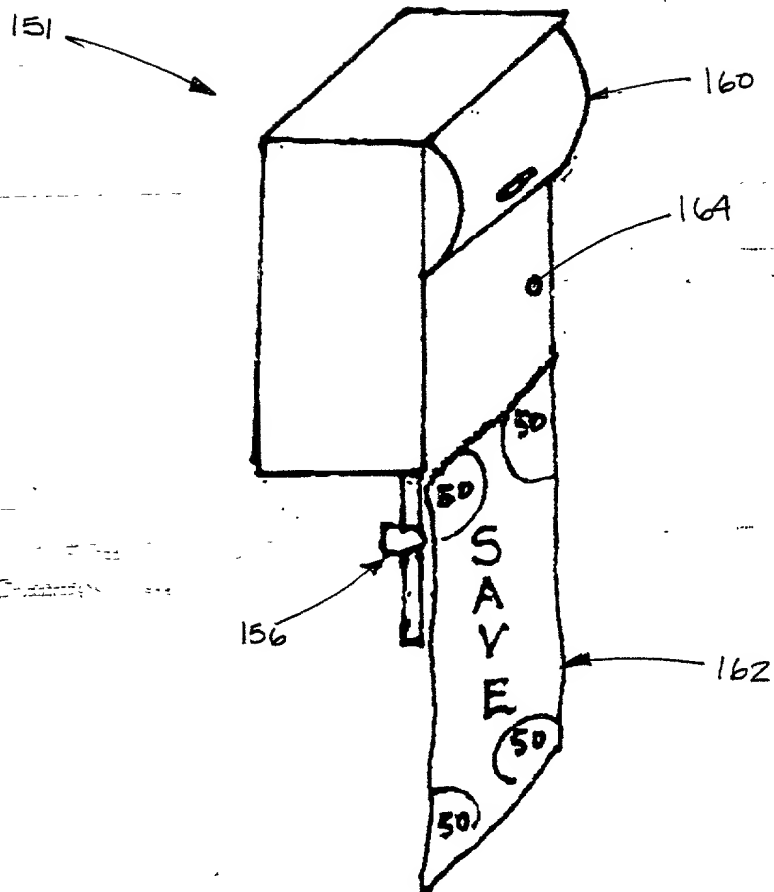


Figure 16



## RPD COMPONENTS

Figure 17



**BASIC RPD UNIT**

**Figure 18**



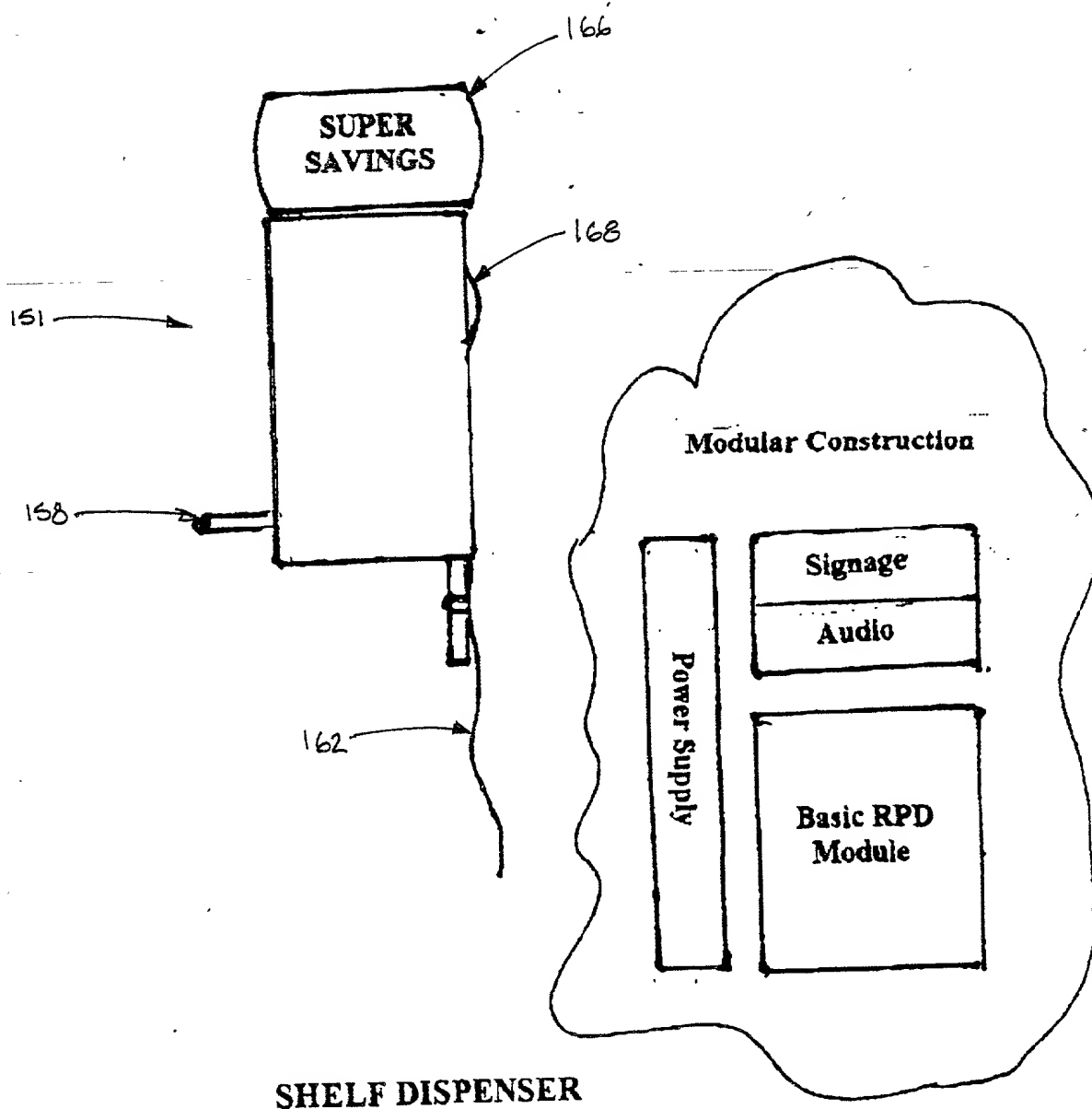
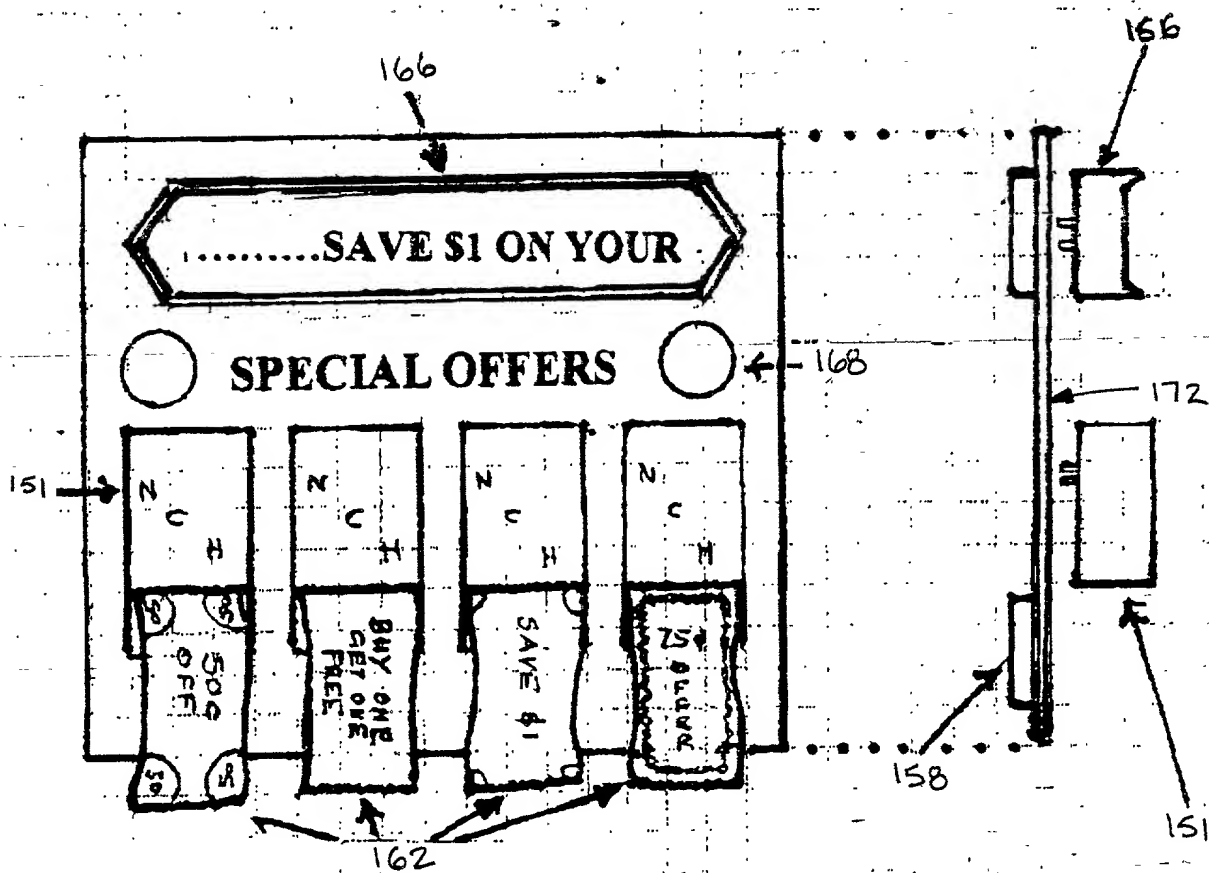
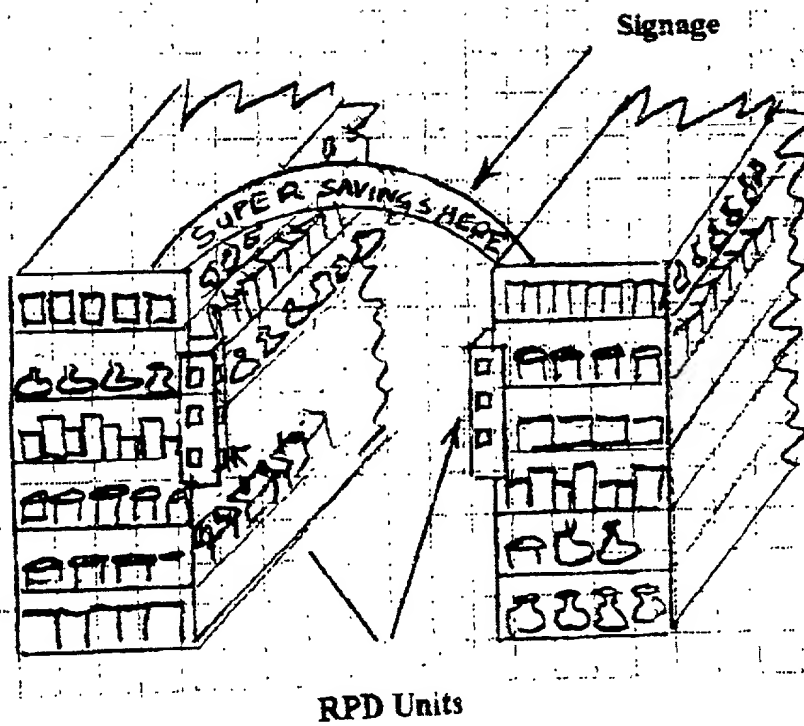


Figure 19



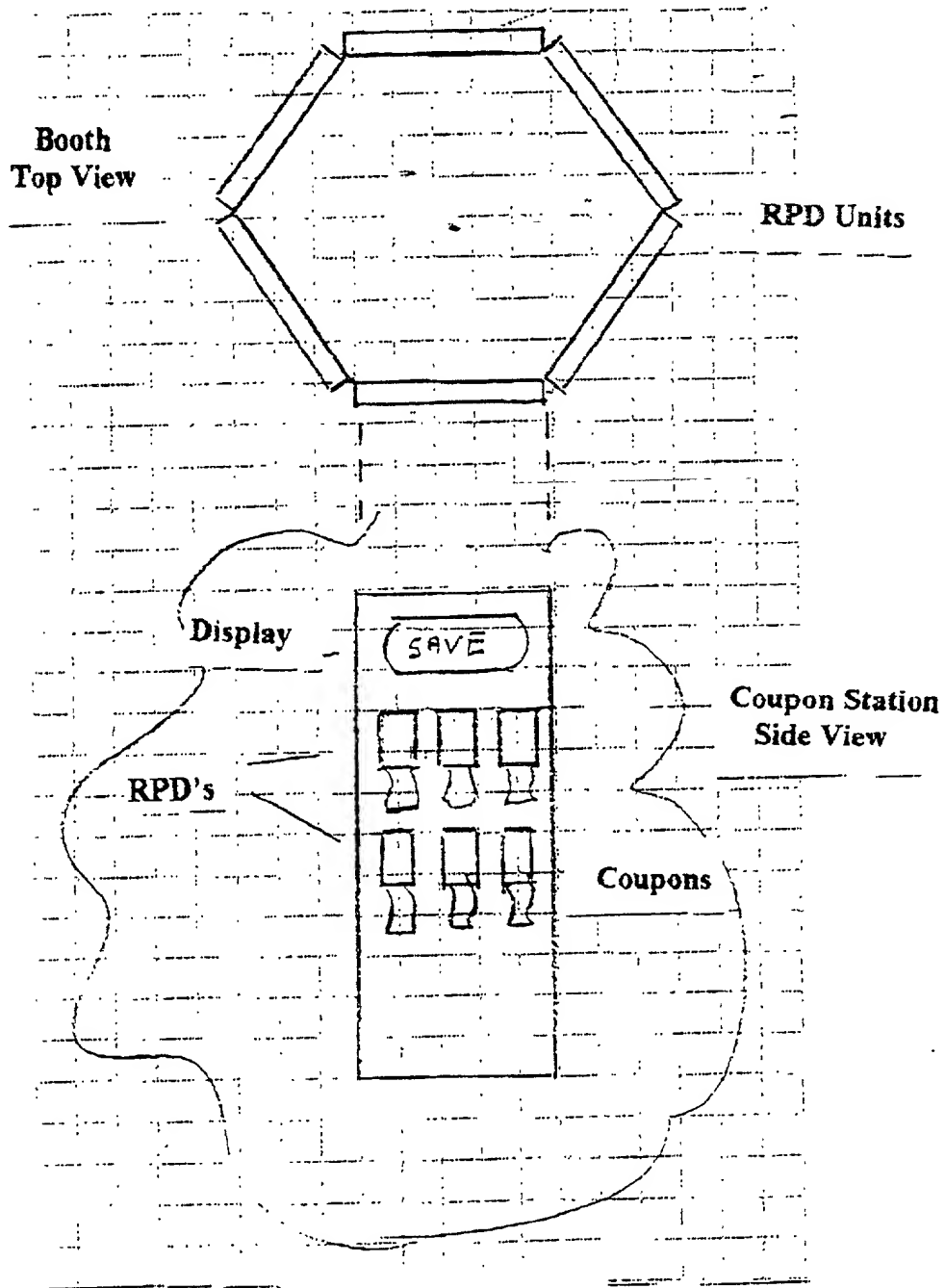
TYPICAL BULLETIN BOARD DISPENSER

Figure 20



## END CAP DISPENSER

Figure 21



## BOOTH

Figure 22



**DECLARATION AND POWER OF ATTORNEY**

Docket No.: ATS016USQ

As below-named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to our names;

We believe that we are the original, first and sole inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**WIRELESS SYSTEM FOR BROADCASTING, RECEIVING, STORING AND SELECTIVELY  
PRINTING COUPONS AND THE LIKE IN A RETAIL ENVIRONMENT**

the specification of which is attached hereto.

We hereby state that we have reviewed and understand the content of the above-identified specification, including the claims.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations Sec. 1.56(a) which states as follows:

“(a) A duty of candor, and good faith toward the Patent and Trademark Office rests on the inventor, on each attorney or agent who prepares or prosecutes the application and on every other individual who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application. All such individuals have a duty to disclose to the Office information they are aware of which is material to the examination of the application. Such information is material where there is substantial likelihood that a reasonable examiner would consider it important in deciding whether to allow the application to issue as a patent. The duty is commensurate with the degree of involvement in the preparation or prosecution of the application.”

and, we acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations Sec. 1.63(d).

We hereby claim foreign priority benefits under Title 35, United States Code, Sec. 119 of any foreign application(s) for patent or inventor certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

NONE

(Number)

(Country)

(Day/Mon/Yr Filed)

Yes No

We hereby claim the benefit under Title 35, United States Code, Sec. 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claim(s) of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Sec. 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Sec. 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

NONE

(Appln. S/N)	(Filing Date)	(patented, pending etc.)
(Appln. S/N)	(Filing Date)	(patented, pending etc.)

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Inventor's signature Timothy Halfman Date 1/22/99  
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## POWER OF ATTORNEY

As named inventor, we hereby appoint the following attorneys to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith:

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(203) 324-2828

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Sec. 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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